

# Quantum Distribution Functions

for

Bosons, Fermions, & otherwise  
Objects

# How to do the Lagrange Multiplier technique

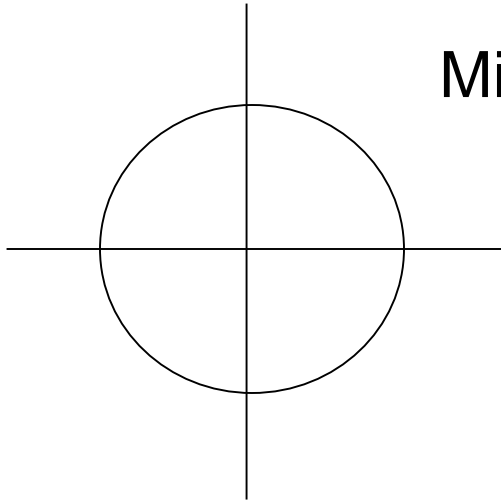
Maximize a function subject to certain constraints on the dependent variables

- function  $f(x_1, x_2, \dots)$
- Constraint  $g(x_1, x_2, \dots) = c$
- Form new function  $F = f + \lambda (g - c)$
- Maximize/Minimize it wrt  $x_1, x_2, \dots$
- Choose something for  $\lambda$  based upon other information

<http://www.slimy.com/~steuard/teaching/tutorials/Lagrange.html>

<http://www.cs.berkeley.edu/~klein/papers/lagrange-multipliers.pdf>

# Example



Minimize  $f = xy$  subject to constraint  $x^2+y^2=1$

$$F = xy + \lambda (x^2+y^2-1)$$

set  $\nabla F = 0$



$$(y+2\lambda x) + (x+2\lambda y) = 0$$

$$(1+2\lambda)y + (1+2\lambda)x = 0$$



$y = -x$

$$dF/dx = y + 2\lambda x$$

$$dF/dy = x + 2\lambda y$$

$$x^2+y^2=1 \rightarrow x^2+x^2=1 \rightarrow 2x^2 = 1 \rightarrow x=\pm 0.707$$

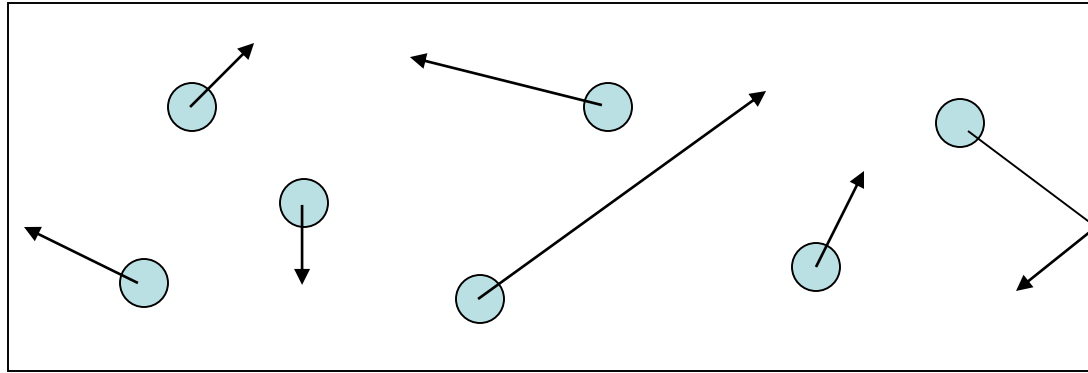
# OUTLINE

- Harris 8.1
  - Quick Basic Probability Ideas
- Harris 8.4-8.5
  - Derivation of Boltzmann Distribution Fn
  - How to Use it
  - How to Normalize it
- Harris 8.2-8.3 Macroscopic Descriptions
  - Entropy and Temperature
  - Density of States
- Harris 8.6
  - Comparison of  $\Psi_{\text{tot}}^*$   $\Psi_{\text{tot}}$  for Bosons & Fermions
  - Detailed Balance
    - w/o special requirements
    - bosons
    - fermions
  - Distribution Fns
    - Interpretations of the  $e^\alpha$  factors
- Harris 8.7-8.10
  - 8.7 Density of States, Conduction Electrons, Bose-Einstein Condensation
  - 8.8 Photon Gasses
  - 8. Laser Systems
  - 8.10 Specific Heats

# Harris 8.1

## Thermodynamic Systems

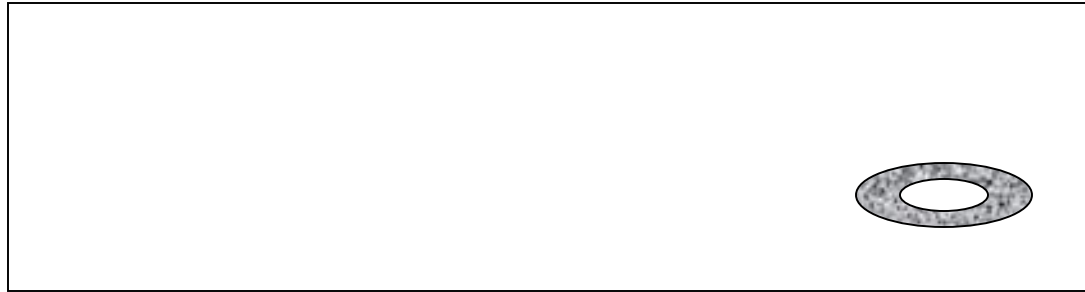
# Microinfo vs Macroinfo



$\{ \mathbf{r}_i, \mathbf{p}_i, \mathbf{L}_i, \mathbf{S}_i, \mathbf{E}_i, \dots \} \quad i = 1, \dots, \text{hugen number}$

$E_{\text{tot}}, P, V, T, N, \dots$

# Microstates vs Macrostate



Very few  
microstates are  
similar to this



Most  
Probable  
arrangement



Many microstates  
are similar to this

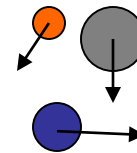
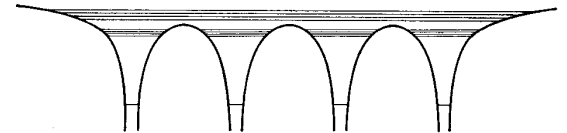
collection of most probable microstates should be described with macro-parameters

$P, V, N, T, E_{\text{tot}}, \dots$

$S = k_B \ln W$

#

- Systems where microscopic approach is limited
  - Solids
  - 3-body problems in ‘planetary’ motion
  - Hartree-Fock Procedure
    - Multielectron atomic structure
    - Nuclear Structure
- e

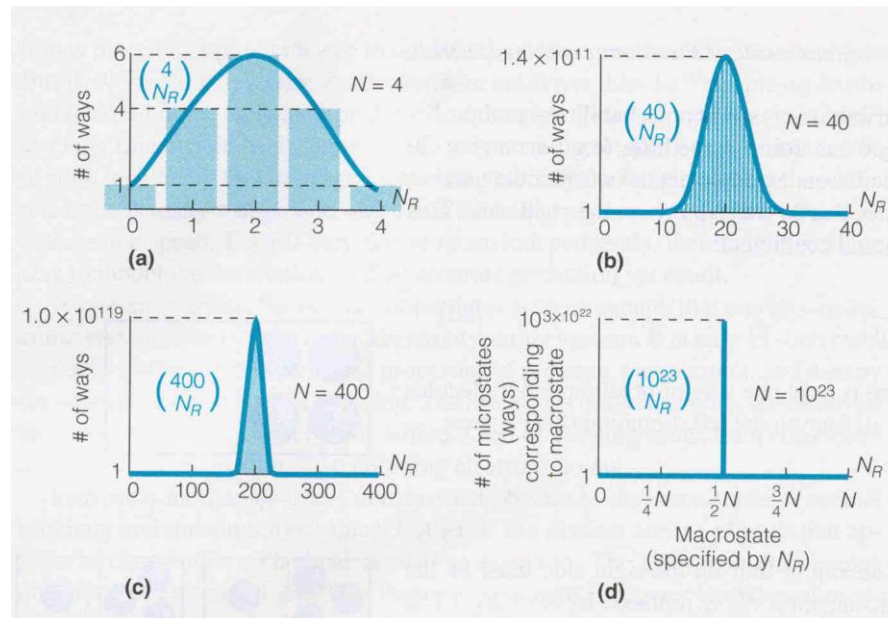
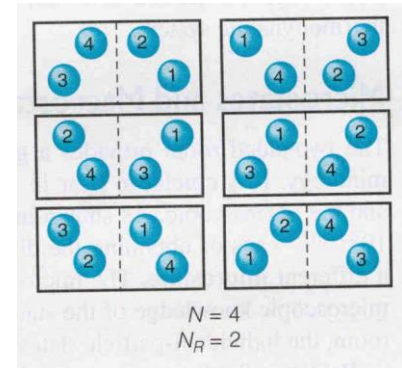
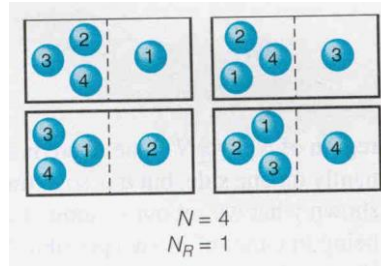
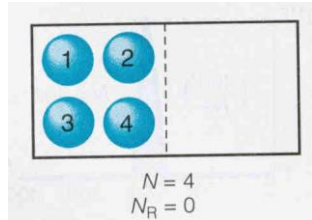


Each system has its own macroscopic parameters,  
but the set always includes

$$N_{\text{tot}}, E_{\text{tot}}, \text{Ave Kinetic Energy } (\sim T).$$



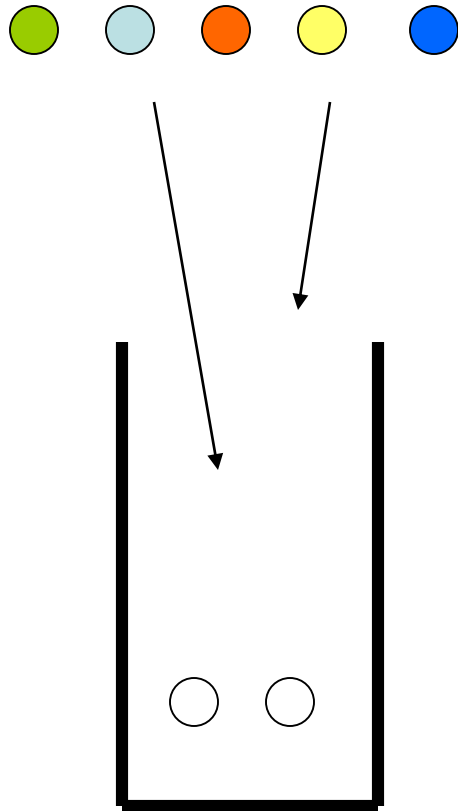
# What is most likely arrangement of 4 balls in 2 bins?



# BACKGROUND PROBABILITY IDEAS

Given  $N=5$  objects and  $p=1$  bin;

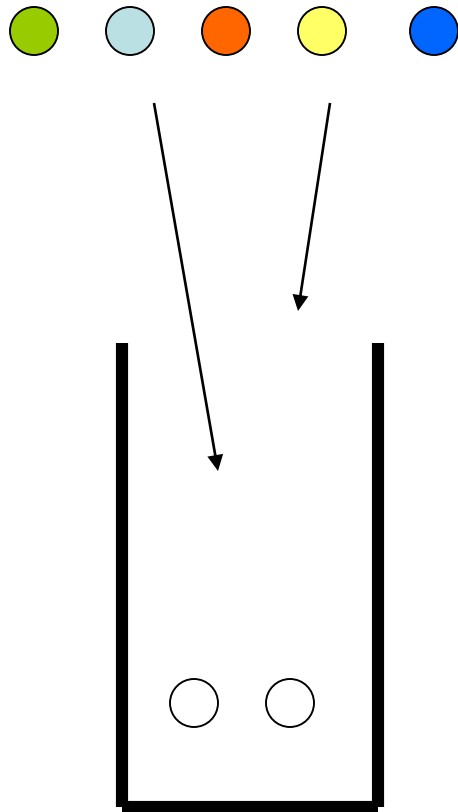
How many ways can one put  $n=2$  objects in the bin ?  
(in a definite order)



$$\frac{N!}{(N-n)!}$$

Given  $N=5$  objects and  $p=1$  bin;

How many ways can one put  $n=2$  objects in the bin ?  
(without regard to order)



$$\frac{N!}{(N-n)! n!}$$

Note that after filling this box, there are  $(N-n)$  objects unused.

Given  $N$  total objects and  $p$  total bins;

How many ways can one put

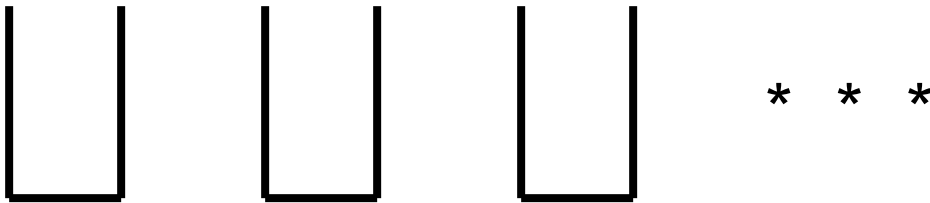
$n_1$  objects in bin #1

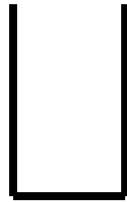
$n_2$  objects in bin #2

$n_3$  objects in bin #3

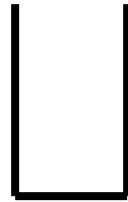
\* \* \*

(without regard to order)

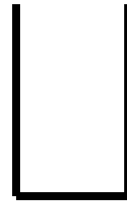




$n_1$



$n_2$



$n_3$

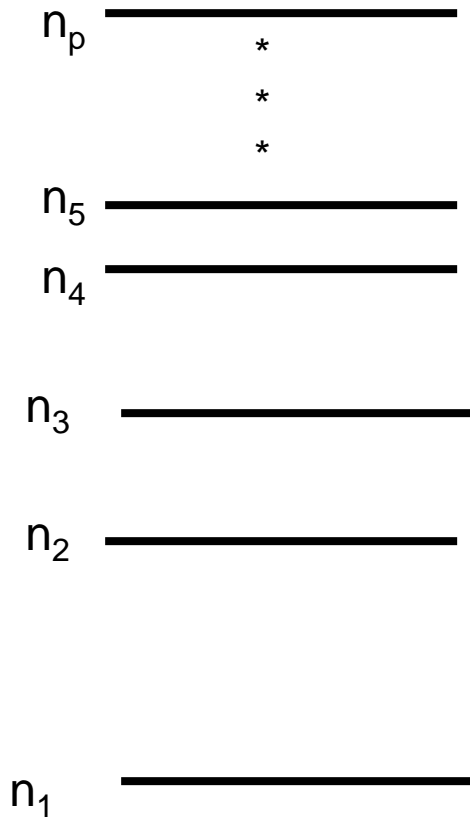
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Probability of finding a particular arrangement:

# Probability Summary

N total objects

p total states



$$prob = \frac{N!}{n_1! n_2! \cdots n_p!}$$

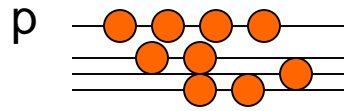
*small*  
*norm*  
*const*



# Harris 8.4

## BOLTZMANN DISTRIBUTION

Probability of finding a particular energy  $\varepsilon$   
subject to the constraint that there are  
N total particles and  $E_{\text{tot}}$  energy

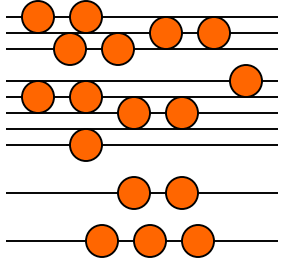


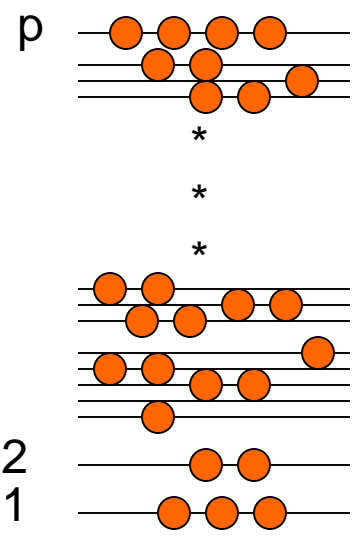
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\*

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2  
1







# Sterling's Formula

$$n! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$$

$$\ln n! \approx \frac{1}{2} \ln 2\pi n + n \ln n - n$$

The second term is largest by at least a parsec

$$\ln n! \approx n \ln n$$









note: reset  $1 + \alpha \rightarrow \alpha$





To discover the expression for the normalization constant (assume smooth spectrum)

OK, so what is  $\beta = ?$

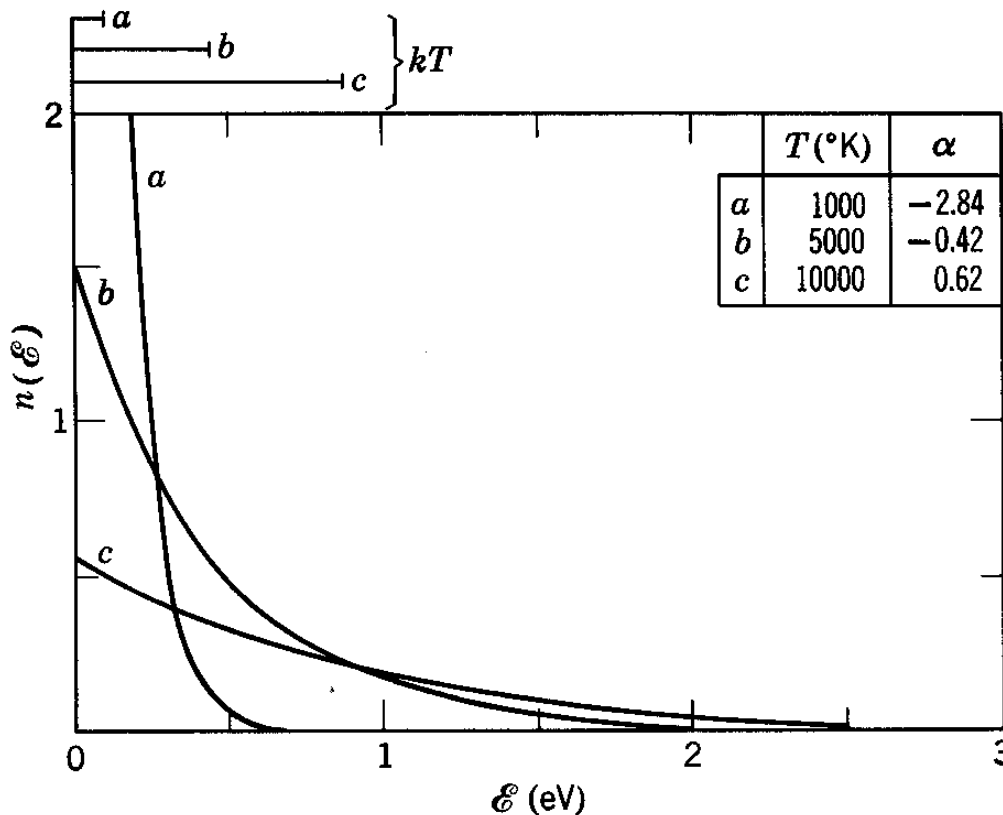
Temperature is defined in terms of the average kinetic energy

# Boltzmann Distribution

Probability of finding a particular energy  $\varepsilon$

subject to the constraints that there are

$N$  total particles and fixed  $E_{\text{tot}}$




$$\text{Prob}(\varepsilon) = \frac{1}{kT} e^{-\varepsilon/kT}$$

$$e^{\alpha} = 1/kT$$

How to normalize the  
Boltzmann Distribution

and

Density of States



We normalized the Boltzmann distribution assuming all energies could occur

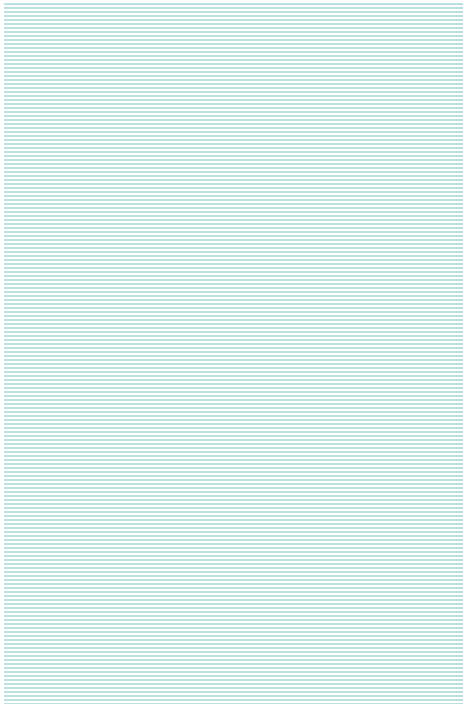
$$\text{Prob} = e^{-\alpha} e^{-E/kT} = A e^{-E/kT}$$

$$1 = \int_0^{\infty} A e^{-\varepsilon/kT} d\varepsilon$$

$$\Rightarrow A = 1/kT$$



Many, many possible states,  
closely spaced in energy



Just did this

Finite number of states,  
but no restriction on filling

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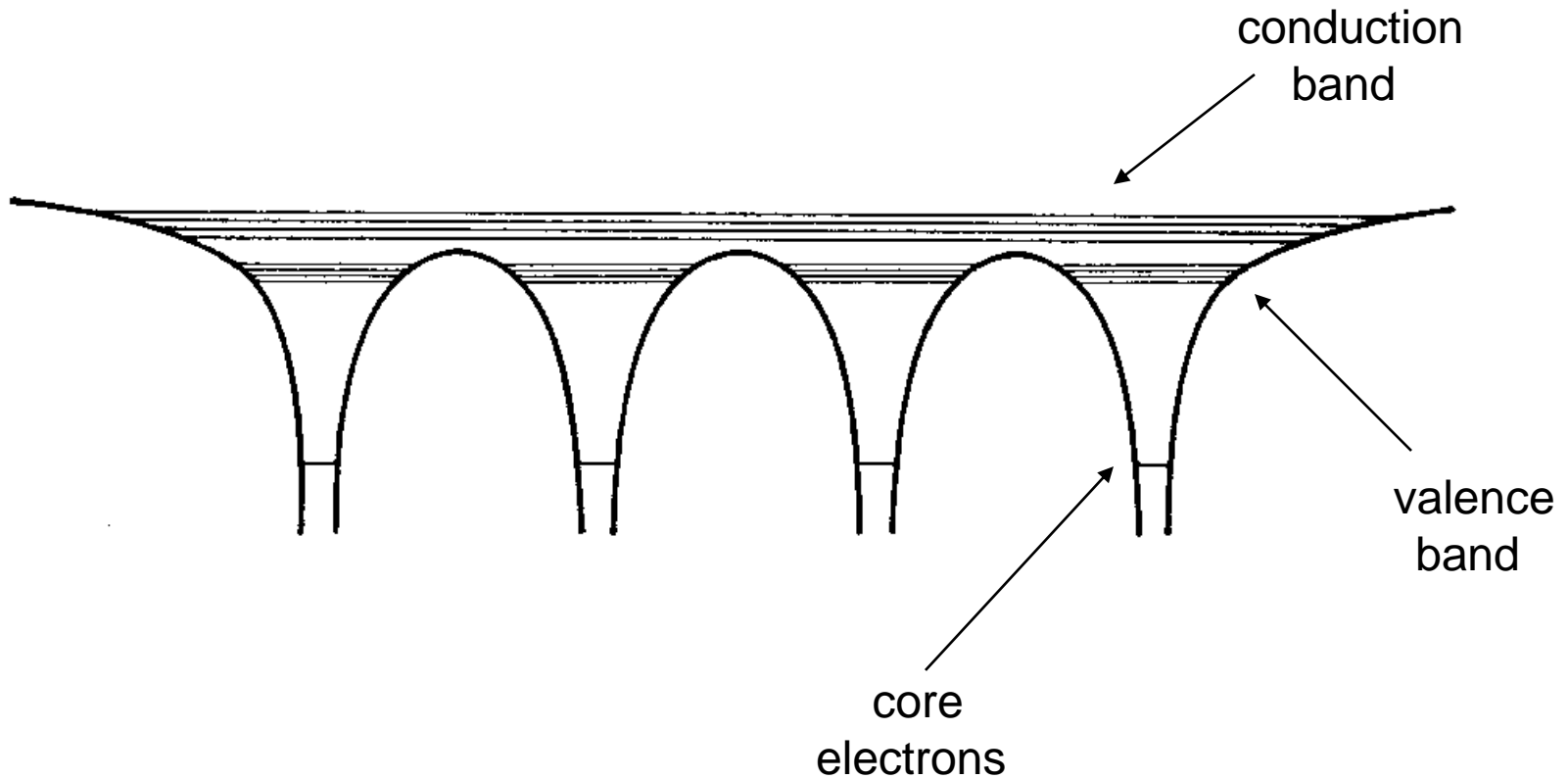
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# Density of States



# Density of States

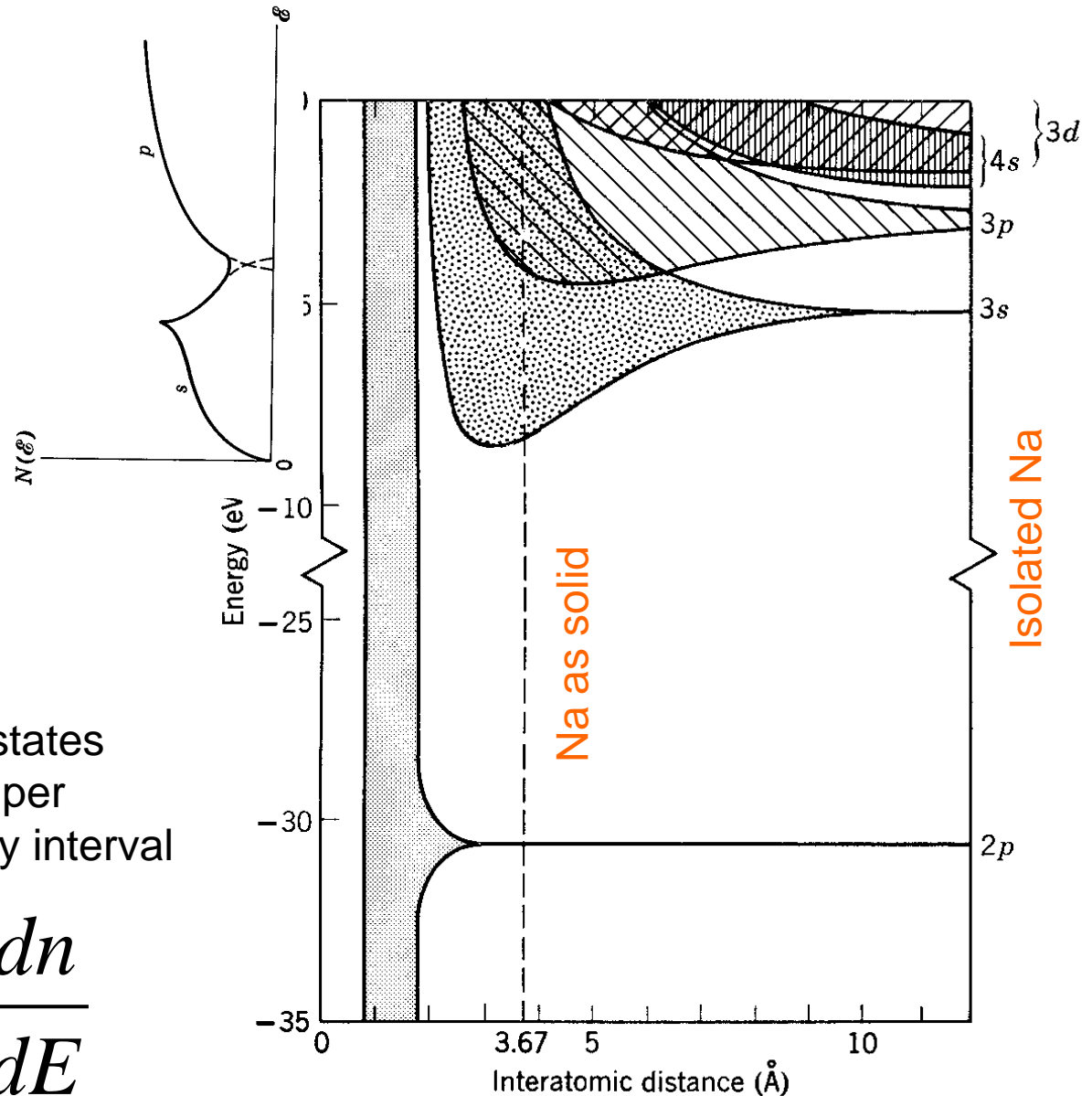
Density  
of  
states

=

# states  
per  
Energy interval

$D(E)$

$$\frac{dn}{dE}$$



Isolated Na

# Calculating Averages

$$\langle Q \rangle = \int Q(\varepsilon) N(\varepsilon) D(\varepsilon) d\varepsilon$$

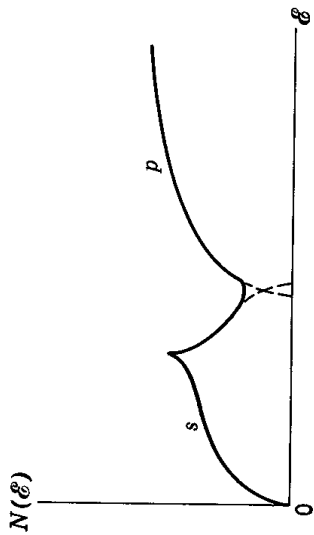
if were motivated enough  
to normalize ND before-  
hand

$$1 = \int N(\varepsilon) D(\varepsilon) d\varepsilon$$

$$\langle Q \rangle = \frac{\int Q(\varepsilon) N(\varepsilon) D(\varepsilon) d\varepsilon}{\int N(\varepsilon) D(\varepsilon) d\varepsilon}$$

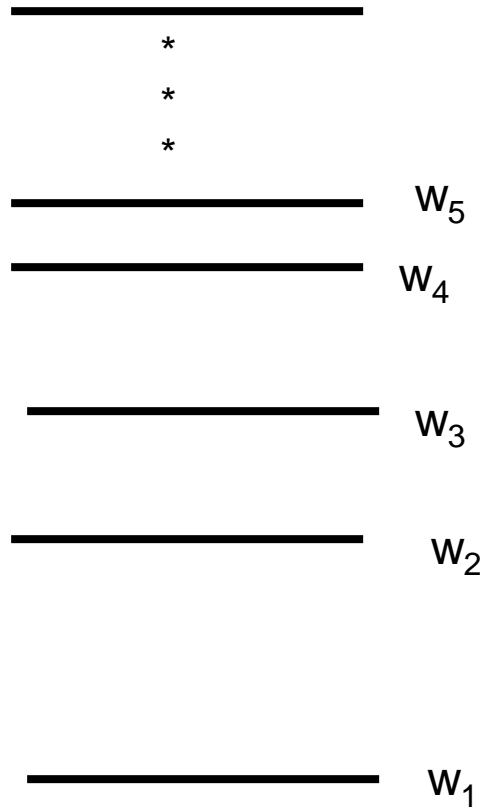
if decided to do it later

Many, many closely-spaced states,  
but with restriction on filling



Just did this

# Finite number of states, but with restriction on filling



$D(\varepsilon)$  is funky notation, so use  $w_i$





# Harris 8.2-8.3

# Macroscopic Descriptions

## *Entropy & Flow of Time*

James Sethna @ Cornell: Chapter 5

<http://pages.physics.cornell.edu/sethna/StatMech/EntropyOrderParametersComplexity.pdf>

<http://www.tim-thompson.com/entropy1.html>

[http://en.wikipedia.org/wiki/Entropy\\_%28statistical\\_thermodynamics%29](http://en.wikipedia.org/wiki/Entropy_%28statistical_thermodynamics%29)

<http://en.wikipedia.org/wiki/Entropy>

[http://en.wikipedia.org/wiki/Ludwig\\_Boltzmann](http://en.wikipedia.org/wiki/Ludwig_Boltzmann)

[http://en.wikipedia.org/wiki/Satyendra\\_Nath\\_Bose](http://en.wikipedia.org/wiki/Satyendra_Nath_Bose)

[http://en.wikipedia.org/wiki/Fermi\\_distribution](http://en.wikipedia.org/wiki/Fermi_distribution)

# Types of Entropy

Entropy is what an equation defines it to be.

- Thermodynamic Entropy

$$dS = \frac{dQ}{T}$$

H (8-3)

Wiki: Carnot



- Statistical Mechanics Entropy

$$S = k_B \ln(\# \text{states}) \quad \text{or} \quad -k_B \langle \ln p_i \rangle = -k_B \sum_i p_i \ln p_i$$

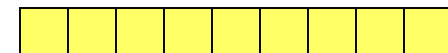
H (8-2)

Wiki: boltz



- Information Entropy

$$S = \log_2(\# \text{combinations}) \quad \text{or} \quad \langle \log_2 p_i \rangle = \sum_i p_i \log_2 p_i$$

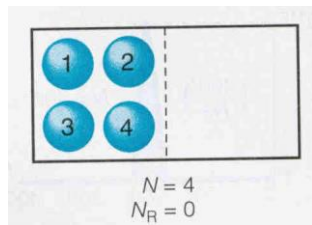


Shannon quote (1949):

The theory was in excellent shape, except that he needed a good name for “missing information”. “Why don’t you call it entropy”, von Neumann suggested. “In the first place, a mathematical development very much like yours already exists in Boltzmann’s statistical mechanics, and in the second place, no one understands entropy very well, so in any discussion you will be in a position of advantage.

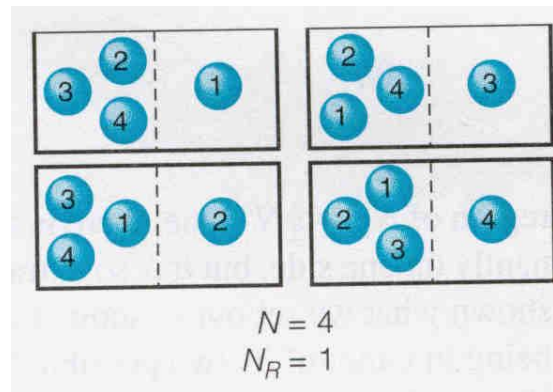
# Stat Mech Example

$$S = k_B \ln(\#microstates)$$



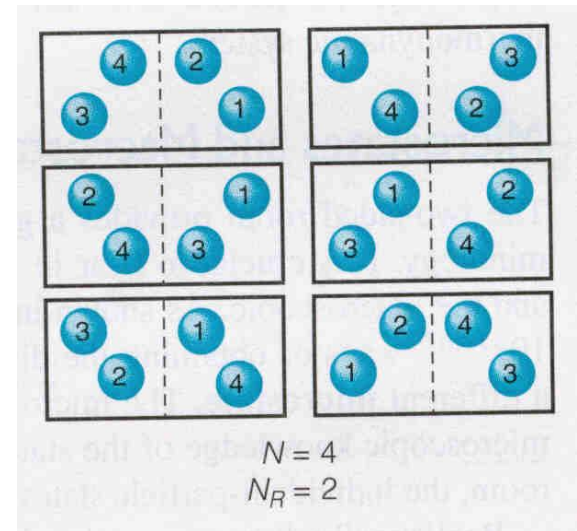
$$S = k_B \ln(1)$$

$$S = 0$$



$$S = k_B \ln(4)$$

$$S = 1.38 k_B$$



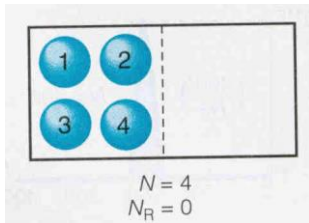
$$S = k_B \ln(6)$$

$$S = 1.79 k_B$$

# Stat Mech Example

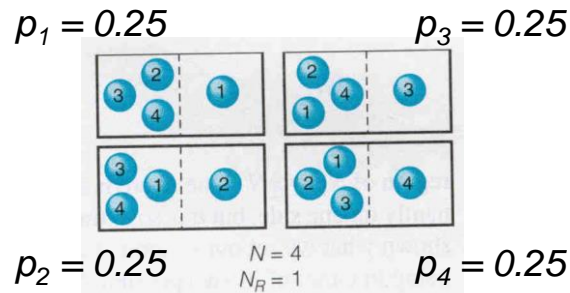
$$S = -k_B \langle \ln p_i \rangle = -k_B \sum_i p_i \ln p_i$$

$p_i = 1$



$$S = -k_B 1 \ln(1)$$

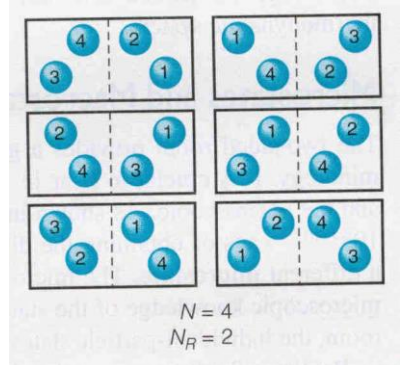
$$= 0$$



$$S = -k_B \left\{ \begin{array}{l} 0.25 \ln(0.25) \\ + 0.25 \ln(0.25) \\ + 0.25 \ln(0.25) \\ + 0.25 \ln(0.25) \end{array} \right\}$$

$$S = 1.38 k_B$$

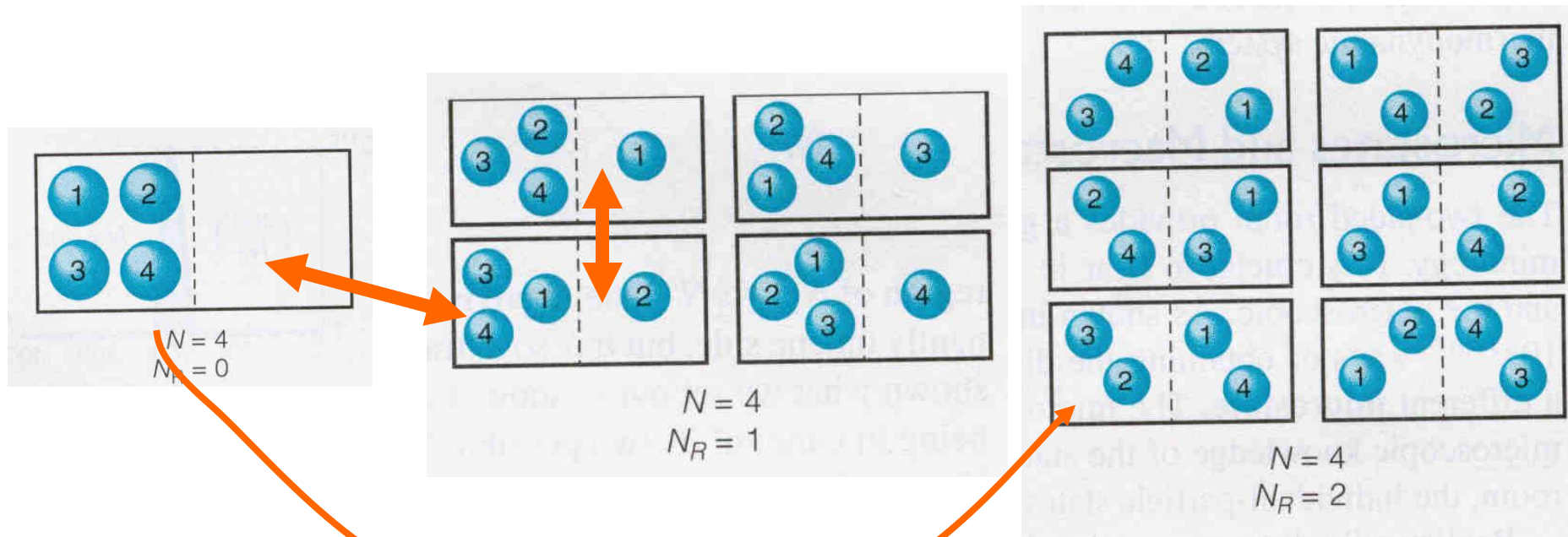
$p_i = 0.167$



$$S = -k_B 6 \{0.167 \ln(0.167)\}$$

$$S = 1.79 k_B$$

# Flow of Time



Changes between microstates are generally easily reversible.

It is not necessarily likely that changes between macrostates can be reversed .



Harris 8.6

Back to Probability Distributions



COMPARING PROBABILITIES  $\Psi^*\Psi$

for

Indistinguishable Boson/Fermion Particles

to those

without worrying about B/F requirements

OR

what do the B/F requirements  
do to probabilities?

# Distinguishable Particle Probabilities

One particle in a state  $\beta$

$$\Psi_{\text{tot}} = \Psi_{\beta}(1)$$

$$\text{Prob} = \Psi_{\text{tot}}^* \Psi_{\text{tot}} = \Psi_{\beta}(1)^* \Psi_{\beta}(1) = 1$$

Two particles in a state  $\beta$

$$\Psi_{\text{tot}} = \Psi_{\beta}(1) \Psi_{\beta}(2)$$

$$\text{Prob} = \Psi_{\beta}(1)^* \Psi_{\beta}(1) \Psi_{\beta}(2)^* \Psi_{\beta}(2) = 1$$

Three particles in a state  $\beta$

$$\Psi_{\text{tot}} = \Psi_{\beta}(1) \Psi_{\beta}(2) \Psi_{\beta}(3)$$

$$\text{Prob} = \Psi_{\beta}(1)^* \Psi_{\beta}(1) \Psi_{\beta}(2)^* \Psi_{\beta}(2) \Psi_{\beta}(3)^* \Psi_{\beta}(3) = 1$$

So what ?      Nothing special happens here.....

# Indistinguishable Boson Probabilities

One particle in a state  $\beta$

$$\Psi_{\text{tot}} = \Psi_{\beta}(1)$$

$$\text{Prob} = \Psi_{\text{tot}}^* \Psi_{\text{tot}} = \Psi_{\beta}(1)^* \Psi_{\beta}(1) = 1$$

Two particles in a state  $\beta$

$$\Psi_{\text{tot}} = \frac{1}{\sqrt{2}} [\Psi_{\beta}(1) \Psi_{\beta}(2) + \Psi_{\beta}(2) \Psi_{\beta}(1)] = \sqrt{2} \Psi_{\beta}(1) \Psi_{\beta}(2)$$

$$\text{Prob} = \left| \sqrt{2} \Psi_{\beta}(1) \Psi_{\beta}(2) \right|^2 = 2 = 2!$$

Three particles in a state  $\beta$

$$\Psi_{\text{tot}} = \frac{1}{\sqrt{6}} [ \Psi_{\beta}(1) \Psi_{\beta}(2) \Psi_{\beta}(3) + \dots ]$$

$$\text{Prob} = \left| \sqrt{6} \Psi_{\beta}(1) \Psi_{\beta}(2) \Psi_{\beta}(3) \right|^2 = 6 = 3!$$

If there are already  $n$  bosons in a state,  
the probability of one more joining them is enhanced by  $(1+n)$   
than what the prob would be w/o indistinguishability requirements

# Indistinguishable Fermion Probabilities

One particle in a state  $\beta$

$$\Psi_{\text{tot}} = \Psi_{\beta}(1)$$

$$\text{Prob} = \Psi_{\text{tot}}^* \Psi_{\text{tot}} = \Psi_{\beta}(1)^* \Psi_{\beta}(1) = 1$$

Two particles in a state  $\beta$

$$\Psi_{\text{tot}} = \frac{1}{\sqrt{2}} [\Psi_{\beta}(1) \Psi_{\beta}(2) - \Psi_{\beta}(2) \Psi_{\beta}(1)] = 0$$

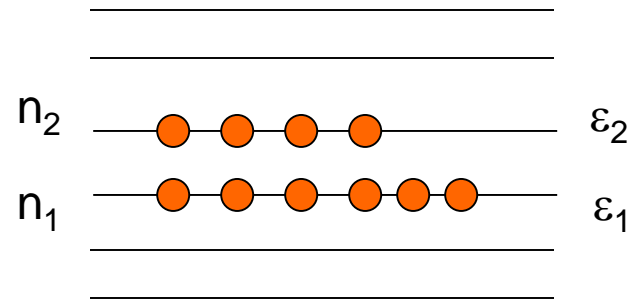
$$\text{Prob} = 0$$

If there are already  $n$  fermions in a state,  
the probability of one more joining them is enhanced by  $(1-n)$   
than what the prob would be w/o indistinguishability requirements



# Principle of Detailed Balance

For two states of a system with fixed total energy,



Where the particles can jump between states by some unknown mechanism,

*Rate of upward going transitions = Rate of downward going transitions*

$$n_1 \text{ Rate } 1 \rightarrow 2 = n_2 \text{ Rate } 2 \rightarrow 1$$

$$\frac{n_1}{n_2} = \frac{R_{2 \rightarrow 1}}{R_{1 \rightarrow 2}}$$

transitions/sec  
per  
particle

# Detailed Balance

*distinguishable particles*  
*(but with no other special requirements)*

$$n_1 R_{1 \rightarrow 2} = n_2 R_{2 \rightarrow 1}$$

$$\frac{n_1}{n_2} = \frac{R_{2 \rightarrow 1}}{R_{1 \rightarrow 2}}$$

Since by the Boltzmann distribution  $n \sim e^{-\varepsilon/kT}$

$$\frac{e^{-\varepsilon_1/kT}}{e^{-\varepsilon_2/kT}} = \frac{n_1}{n_2} = \frac{R_{2 \rightarrow 1}}{R_{1 \rightarrow 2}}$$

Gives us the ratio of the two transition rates

# Detailed Balance

## *indistinguishable bosons*

$$n_1 R_{1 \rightarrow 2}^{boson} = n_2 R_{2 \rightarrow 1}^{boson}$$

*boson transition probabilities are enhanced*  $R_{1 \rightarrow 2}^{boson} = (1 + n_2) R_{1 \rightarrow 2}$

$$n_1 (1 + n_2) R_{1 \rightarrow 2} = n_2 (1 + n_1) R_{2 \rightarrow 1}$$

$$\frac{n_1 (1 + n_2)}{n_2 (1 + n_1)} = \frac{R_{2 \rightarrow 1}}{R_{1 \rightarrow 2}} = \frac{e^{-\varepsilon_1 / kT}}{e^{-\varepsilon_2 / kT}}$$

$$\frac{n_1}{1 + n_1} e^{\varepsilon_1 / kT} = \frac{n_2}{1 + n_2} e^{\varepsilon_2 / kT}$$



$$\frac{n_1}{1+n_1} e^{\varepsilon_1/kT} = \frac{n_2}{1+n_2} e^{\varepsilon_2/kT}$$

L & R sides are unrelated except for Temp

$$\frac{n_1}{1+n_1} e^{\varepsilon_1/kT} = \text{some const} = e^{-\alpha}$$

$$\frac{n_1}{1+n_1} = e^{-\alpha - \varepsilon_1/kT}$$

$$n_1 = (1+n_1) e^{-\alpha - \varepsilon_1/kT}$$

$$n_1 = (1 + n_1) e^{-\alpha - \varepsilon_1/kT}$$

$$n_1 = e^{-\alpha - \varepsilon_1/kT} + n_1 e^{-\alpha - \varepsilon_1/kT}$$

$$n_1 (1 - e^{-\alpha - \varepsilon_1/kT}) = e^{-\alpha - \varepsilon_1/kT}$$

$$n_1 = \frac{e^{-\alpha - \varepsilon_1/kT}}{1 - e^{-\alpha - \varepsilon_1/kT}}$$

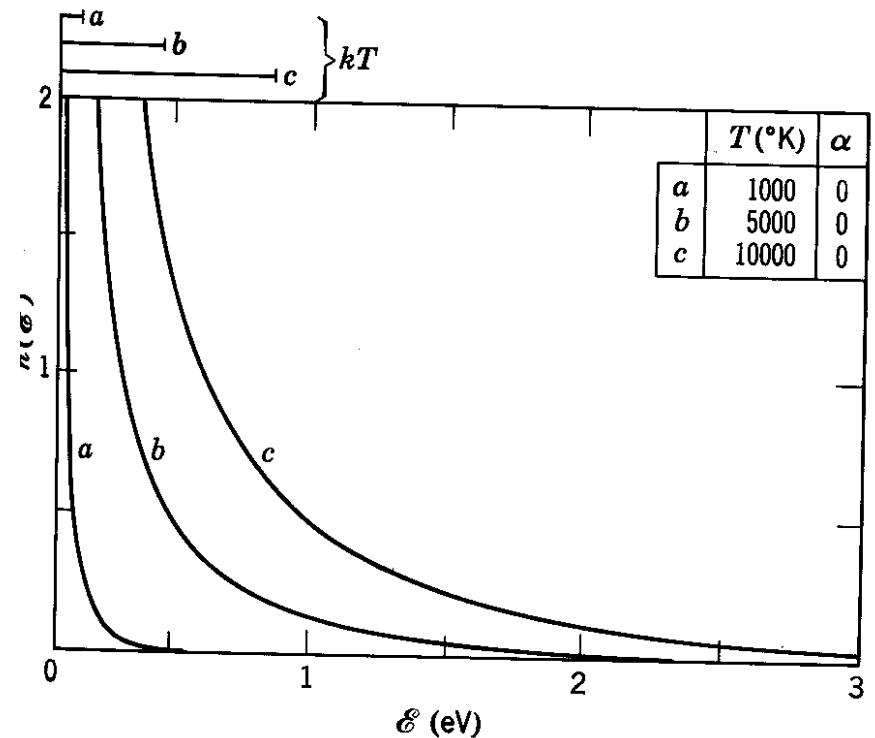
$$n_1 = \frac{1}{e^{\alpha + \varepsilon_1/kT} - 1}$$



# Bose distribution function

Probable # bosons of an energy  $\varepsilon$   
in a system of fixed total energy at a temperature  $T$

$$n(\varepsilon) = \frac{1}{e^{\alpha} e^{\varepsilon/kT} - 1}$$



# Detailed Balance

## *indistinguishable fermions*

( short derivation )

$$n_1 R_{1 \rightarrow 2}^{\text{fermion}} = n_2 R_{2 \rightarrow 1}^{\text{fermion}}$$

*fermion transition probabilities are de-enhanced*  $R_{1 \rightarrow 2}^{\text{fermion}} = (1 - n_2) R_{1 \rightarrow 2}$

$$n_1 (1 - n_2) R_{1 \rightarrow 2} = n_2 (1 - n_1) R_{2 \rightarrow 1}$$

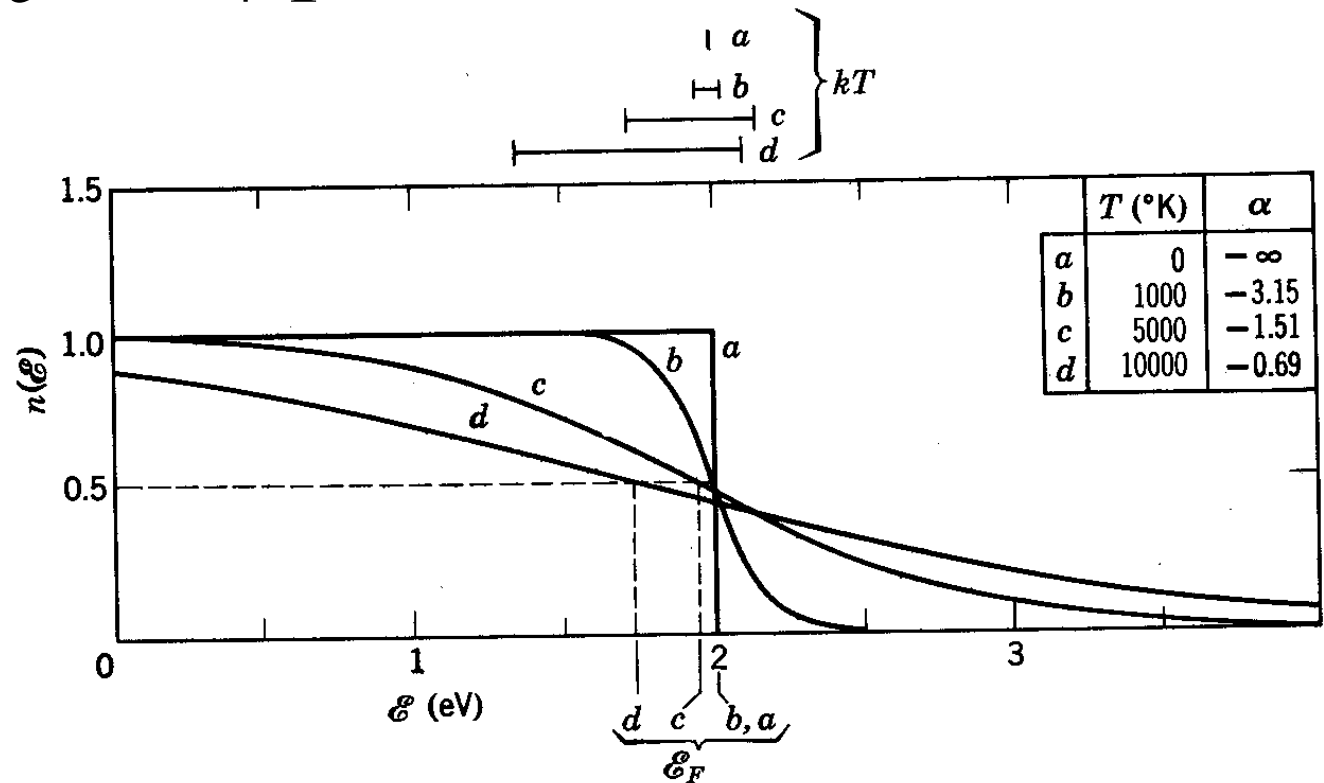
$$\frac{n_1}{1 - n_1} e^{\varepsilon_1 / kT} = \frac{n_2}{1 - n_2} e^{\varepsilon_2 / kT}$$

$$n_1 = \frac{1}{e^{\alpha + \varepsilon_1 / kT} + 1}$$

# Fermi distribution function

Probable # fermions of an energy  $\varepsilon$   
in a system of fixed total energy at a temperature  $T$

$$n(\varepsilon) = \frac{1}{e^\alpha e^{\varepsilon/kT} + 1}$$





SUMMARY  
of  
Distribution Functions  
  
*and*  
*what is this  $\alpha$  thing?*

# Collected Distribution Functions

Boltzmann	$n(\varepsilon) = \frac{1}{e^\alpha e^{\varepsilon/kT}}$
Bose	$n(\varepsilon) = \frac{1}{e^\alpha e^{\varepsilon/kT} - 1}$
Fermi	$n(\varepsilon) = \frac{1}{e^\alpha e^{\varepsilon/kT} + 1}$



# Normalization Interpretation

$$\int_0^{\infty} n(\varepsilon) d\varepsilon = 1$$

Boltz	$n(\varepsilon) = \frac{1}{e^{\alpha} e^{\varepsilon/kT}}$	$e^{\alpha} = kT$
Bose	$n(\varepsilon) = \frac{1}{e^{\alpha} e^{\varepsilon/kT} - 1}$	$\alpha = \text{a real mess}$ $e^{-\alpha} \approx \frac{N_{tot} (2\pi\hbar)^3}{V (2\pi mkT)^{3/2}} *$
Fermi	$n(\varepsilon) = \frac{1}{e^{\alpha} e^{\varepsilon/kT} + 1}$	$\alpha = \text{a real mess}$ $e^{-\alpha} \approx \frac{N_{tot} (2\pi\hbar)^3}{V (2\pi mkT)^{3/2}} *$

This interpretation may not be so useful for Bose & Fermi distributions

\* For  $N_{tot}$  free particles strictly confined to a 3-D region of space of volume  $V$ .

# Chemical Potential Interpretation

$$\mu = -\alpha kT$$

Boltz	$n(\varepsilon) = \frac{1}{e^{(\varepsilon - \mu)/kT}}$	$e^\alpha = e^{-\mu/kT}$
Bose	$n(\varepsilon) = \frac{1}{e^{(\varepsilon - \mu)/kT} - 1}$	$e^\alpha = e^{-\mu/kT}$
Fermi	$n(\varepsilon) = \frac{1}{e^{(\varepsilon - \mu)/kT} + 1}$	$e^\alpha = e^{-\mu/kT}$

A uniform description for all three distributions.

Used for Bose distribution.

- Problem: chemical potential is not an easily measured or well understood quantity (by most people)
  - Defn: How the total energy of a system changes as one changes the count of objects
  - How does the total NRG change if we replace a 10 eV photon with two 5 eV photons? Ans: it doesn't,  $\mu=0$   
*this system is called a photon/phonon gas*
  - How does the total NRG change if we replace a KE 10 eV proton with two 5 eV protons? Ans: some
  - How does the total NRG change if we replace a KE 10 eV H-atom with two 5 eV H-atoms? Ans: a v.s. amount

# 50% Probability Interpretation

At what energy is the probability 50% of its maximum value?  
(called the Fermi energy  $\varepsilon_f$ )

Boltz	<i>Not used</i>	
Bose	<i>Not used</i>	
Fermi	$n(\varepsilon) = \frac{1}{e^{(\varepsilon - \varepsilon_f)/kT} + 1}$	$\begin{aligned} &\text{when } \varepsilon = \varepsilon_f \\ &\frac{1}{e^{(0)/kT} + 1} = \frac{1}{2} \end{aligned}$

Used for Fermi distribution.



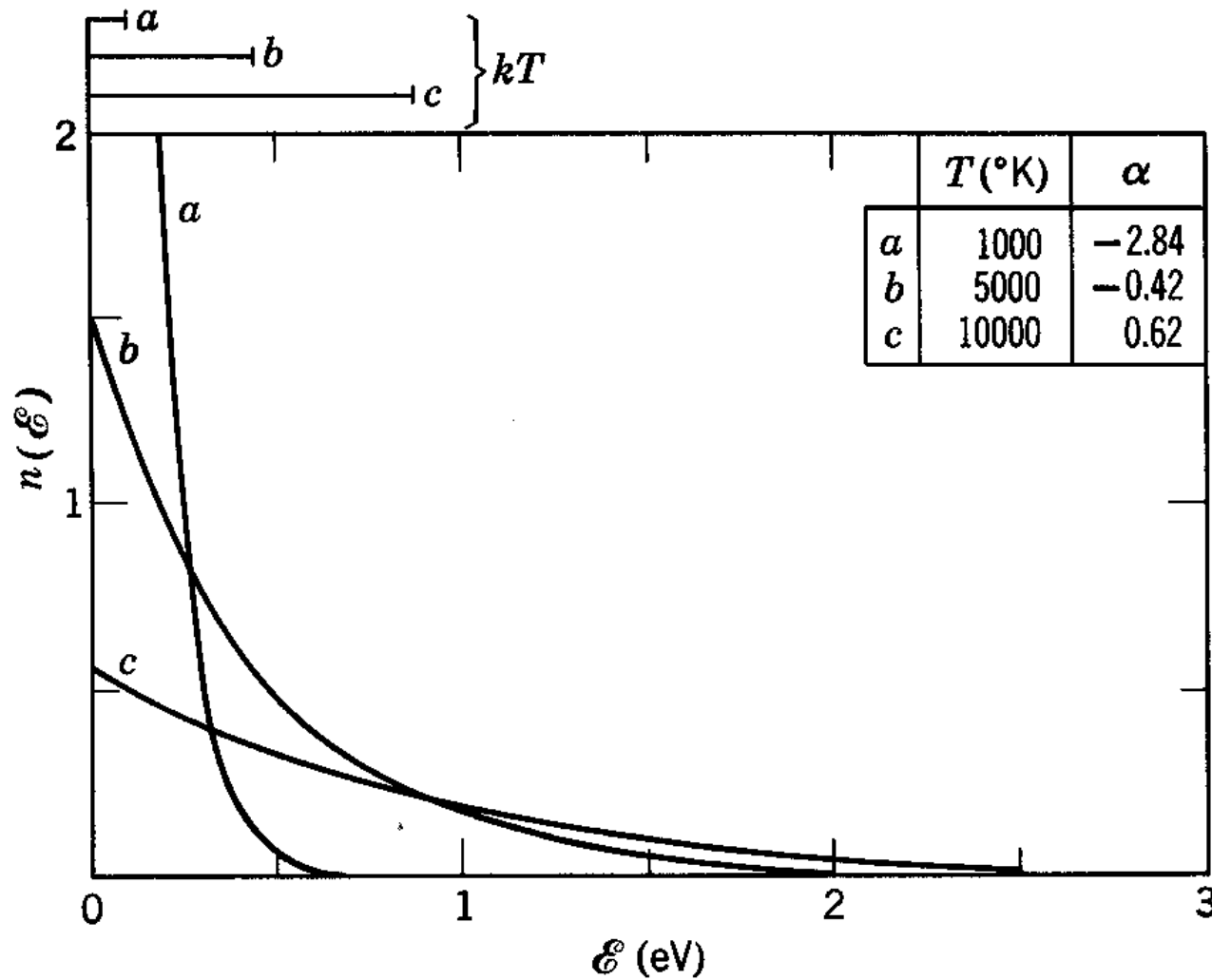
# Summary of Common Usage

Boltz	$n(\varepsilon) = \frac{1}{e^\alpha e^{\varepsilon/kT}}$	Probability $e^\alpha = kT$
Bose	$n(\varepsilon) = \frac{1}{e^{(\varepsilon-\mu)/kT} - 1}$	Chemical Potl $\mu$
Fermi	$n(\varepsilon) = \frac{1}{e^{(\varepsilon-\varepsilon_f)/kT} + 1}$	Fermi Energy $\varepsilon_f$

# Boltzmann

normalization  
interpretation

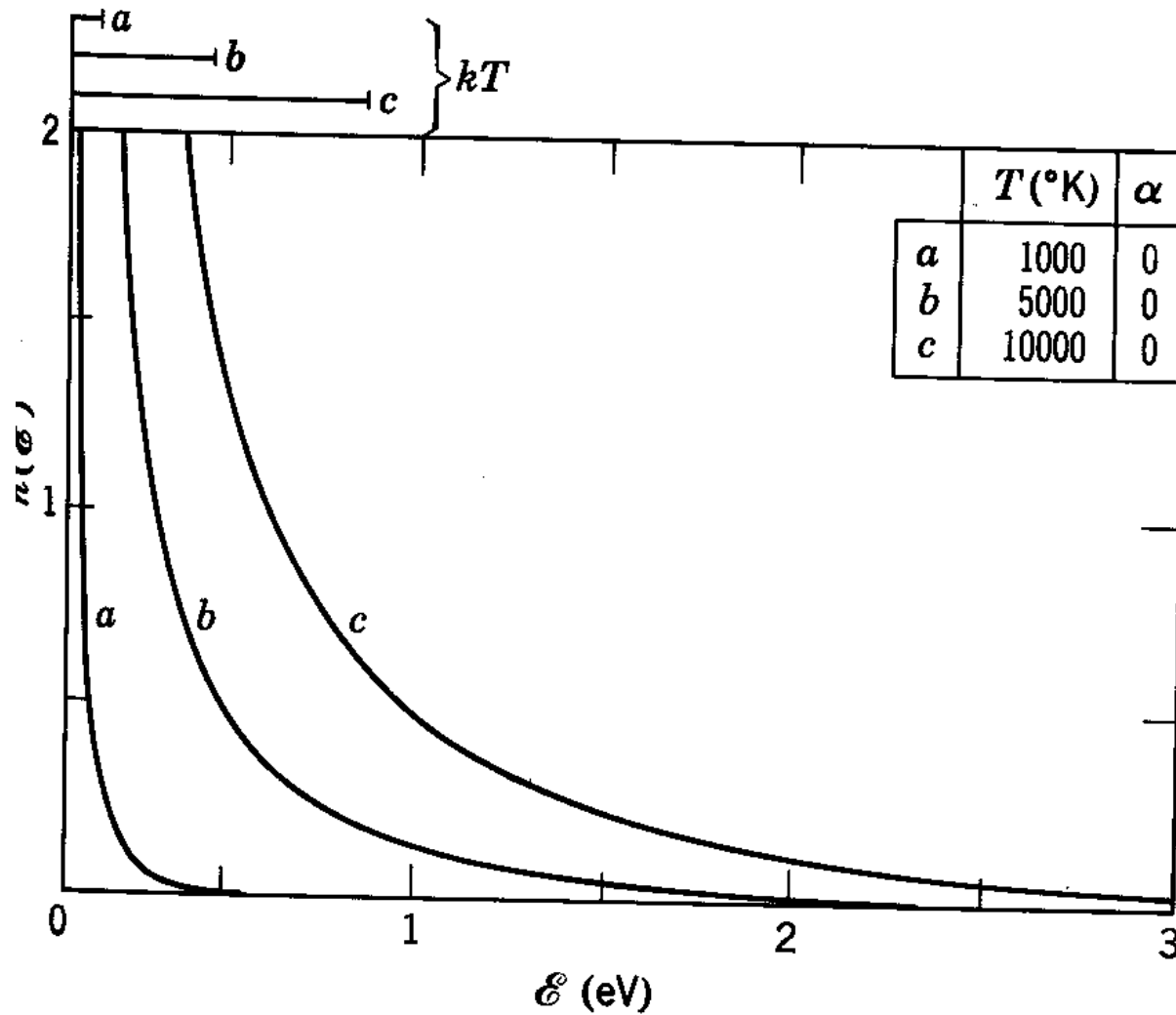
$$e^{\alpha} = 1/kT$$



# Bose for phonon gas

chemical interpretation

$$\mu = 0$$

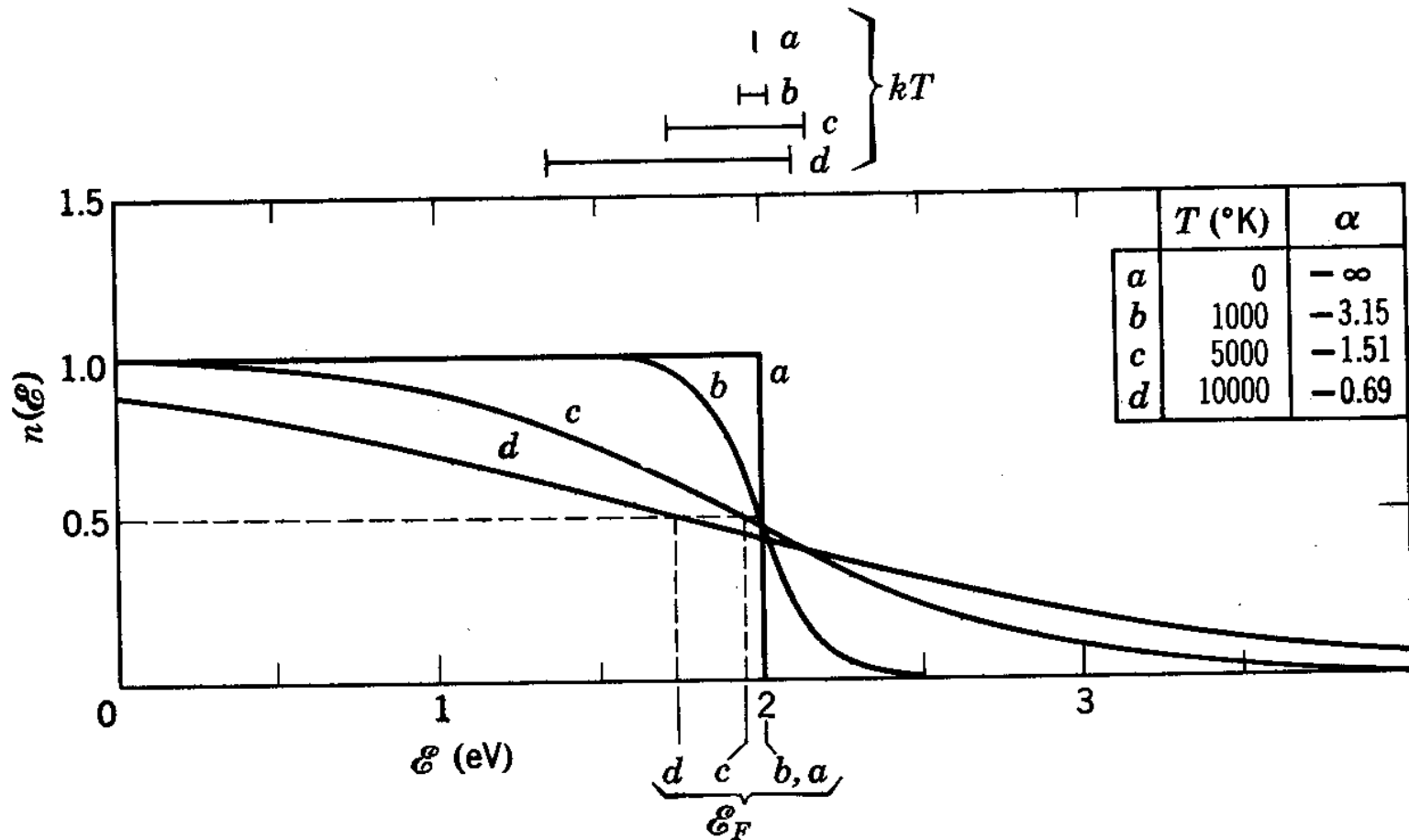


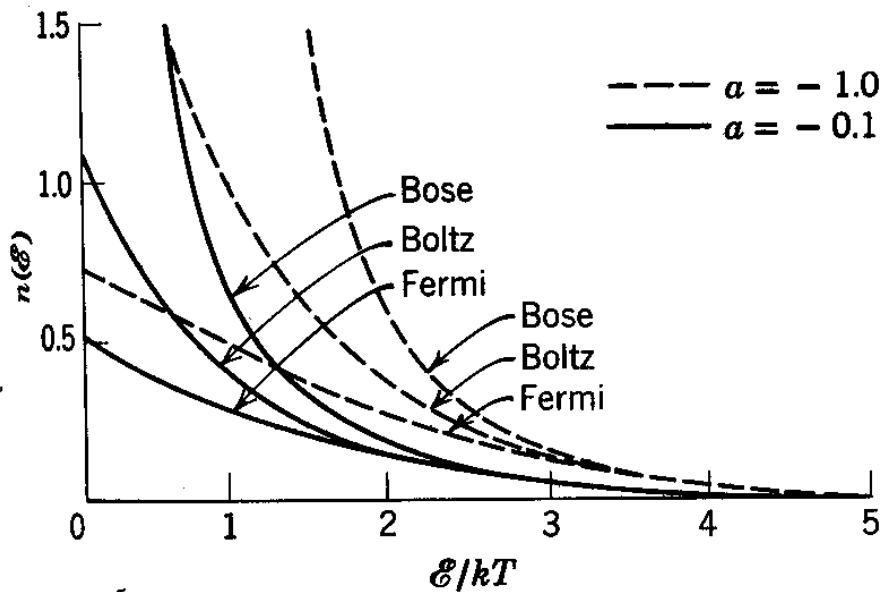


# Fermi

½ value  
interpretation

$$\alpha = -\varepsilon_f / kT$$





**Figure 11-4** The Boltzmann, Bose, and Fermi distribution functions plotted versus  $\mathcal{E}/kT$  for two different values of  $\alpha$ ,  $-0.1$  and  $-1.0$ . It should be noted that the dashed curves, if moved to the left  $(-0.1) - (-1.0) = 0.9$  units, would coincide exactly with the solid curves. This observation may provide some further insight into the physical interpretation of  $\alpha$ .



# EXAMPLES OF QUANTUM GASES & FLUIDS

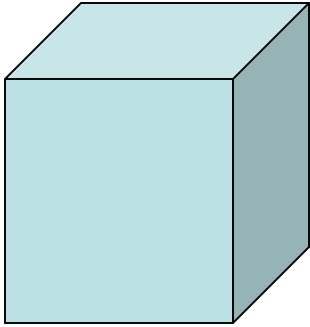
Harris 8.7-8.10

- Density of States in a 3D bound system (massive objects)
- Electron Gas: Conduction Electrons
- Photon Gas: Blackbody Spectrum
- Gas Laws: 'PV=nRT'
- Bose Gases: 4He
- Bose-Einstein Condensates
- Specific Heat of Solids
- Laser Systems

# Density of States in 3D confined system

Harris 8.7

In a 3D slab of metal, e's are free to move  
but must remain on the inside



$$-\frac{\hbar^2}{2m} \nabla^2 \Psi + 0\Psi = E\Psi$$

Solutions are of the form:

$$\Psi = \Psi(xyz) = \sqrt{\frac{8}{L^3}} \sin k_x x \sin k_y y \sin k_z z$$

$\uparrow$   
 $\frac{n_z \pi}{L}$

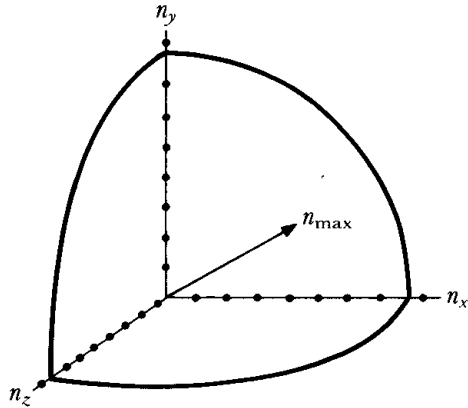
With nrg's:

$$\varepsilon = \frac{h^2}{8mL^2} (n_x^2 + n_y^2 + n_z^2)$$

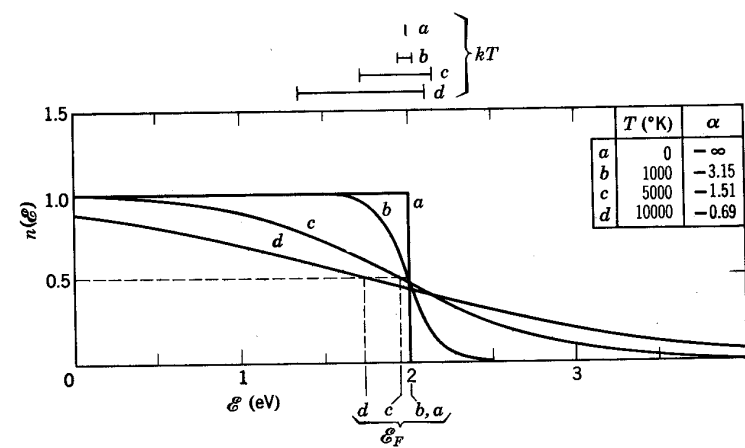
At  $T = 0$ , all states are filled up to the Fermi nrg

$$\mathcal{E}_{fermi} = \frac{h^2}{8mL^2} (n_x^2 + n_y^2 + n_z^2)_{\max}$$

A useful way to keep track of the states that are filled is:

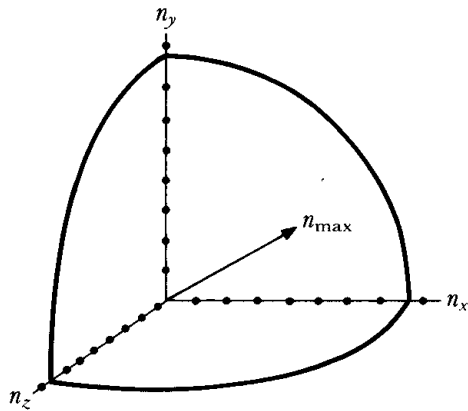


$$n_x^2 + n_y^2 + n_z^2 \equiv n_{\max}^2$$



$$\epsilon_{fermi} = \frac{h^2}{8mL^2} n_{max}^2$$

total number of states up to an energy  $\epsilon_{fermi}$ :



2s+1 → 2 spin states

$$\mathcal{N} = 2 \cdot \frac{1}{8} \left( \begin{array}{c} \text{volume} \\ \text{of} \\ \text{sphere} \end{array} \right) = 2 \cdot \frac{1}{8} \frac{4\pi n_{max}^3}{3}$$

$$\epsilon_{fermi} = \frac{h^2}{8m} \left( \frac{3N}{\pi V} \right)^{2/3} \rightarrow \frac{\pi^2 \hbar^2}{m} \left( \frac{3}{2\pi\sqrt{2}} \frac{N}{V} \right)^{2/3}$$

Harris (8-43)

# states/volume ~ # free e's / volume

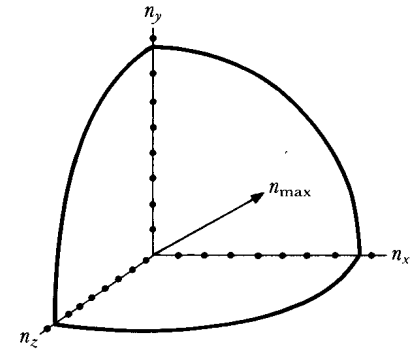
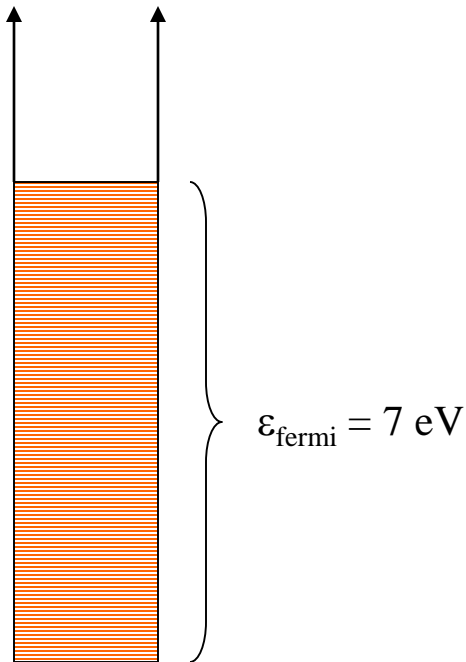


## Sample Numerical Values for Copper slab

$$\frac{\mathcal{N}}{V} = 8.96 \text{ gm/cm}^3 \quad 1/63.6 \text{ amu} \quad 6e23 = 8.5e22 \text{ \#/cm}^3 = 8.5e28 \text{ \#/m}^3$$


$$\epsilon_{\text{fermi}} = \frac{h^2}{8m} \left( \frac{3N}{\pi V} \right)^{2/3} \longrightarrow \epsilon_{\text{fermi}} = 7 \text{ eV}$$

$$n_{\text{max}} = 4.3 \text{ e}7$$



$$\overline{KE} = \frac{3}{2} kT \sim 0.04 \text{ eV at } 300\text{K}$$

# Density of States

$$Tot\ KE = \int_0^{\infty} \varepsilon N(\varepsilon) D(\varepsilon) d\varepsilon$$


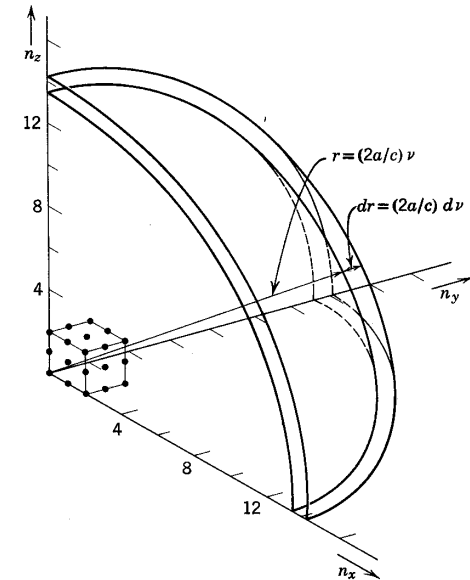
How many combinations of are there  
within an energy interval  $\varepsilon$  to  $\varepsilon + d\varepsilon$  ?

$$\varepsilon_{fermi} = \frac{h^2}{8m} \left( \frac{3N}{\pi V} \right)^{2/3}$$

$$N = \left( \frac{\pi V}{3} \right) \left( \frac{8m\varepsilon}{h^2} \right)^{3/2}$$

$$dN = \left( \frac{\pi V}{3} \right) \frac{3}{2} \left( \frac{8m\varepsilon}{h^2} \right)^{1/2} \frac{8m}{h^2} d\varepsilon$$

$$\frac{dN}{d\varepsilon} = \frac{8\pi V}{h^3} (2m^3)^{1/2} \varepsilon^{1/2} \rightarrow \frac{2m^{3/2}V}{\pi^2 \hbar^3 \sqrt{2}} \varepsilon^{1/2}$$

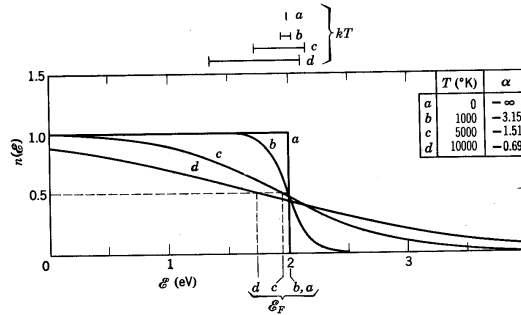


Harris  
(8-40)

# Electron Gas Conduction Electrons

Harris 8.7

At  $T \neq 0$  the electrons will be spread out among the allowed states



How many electrons are contained in a particular energy range?

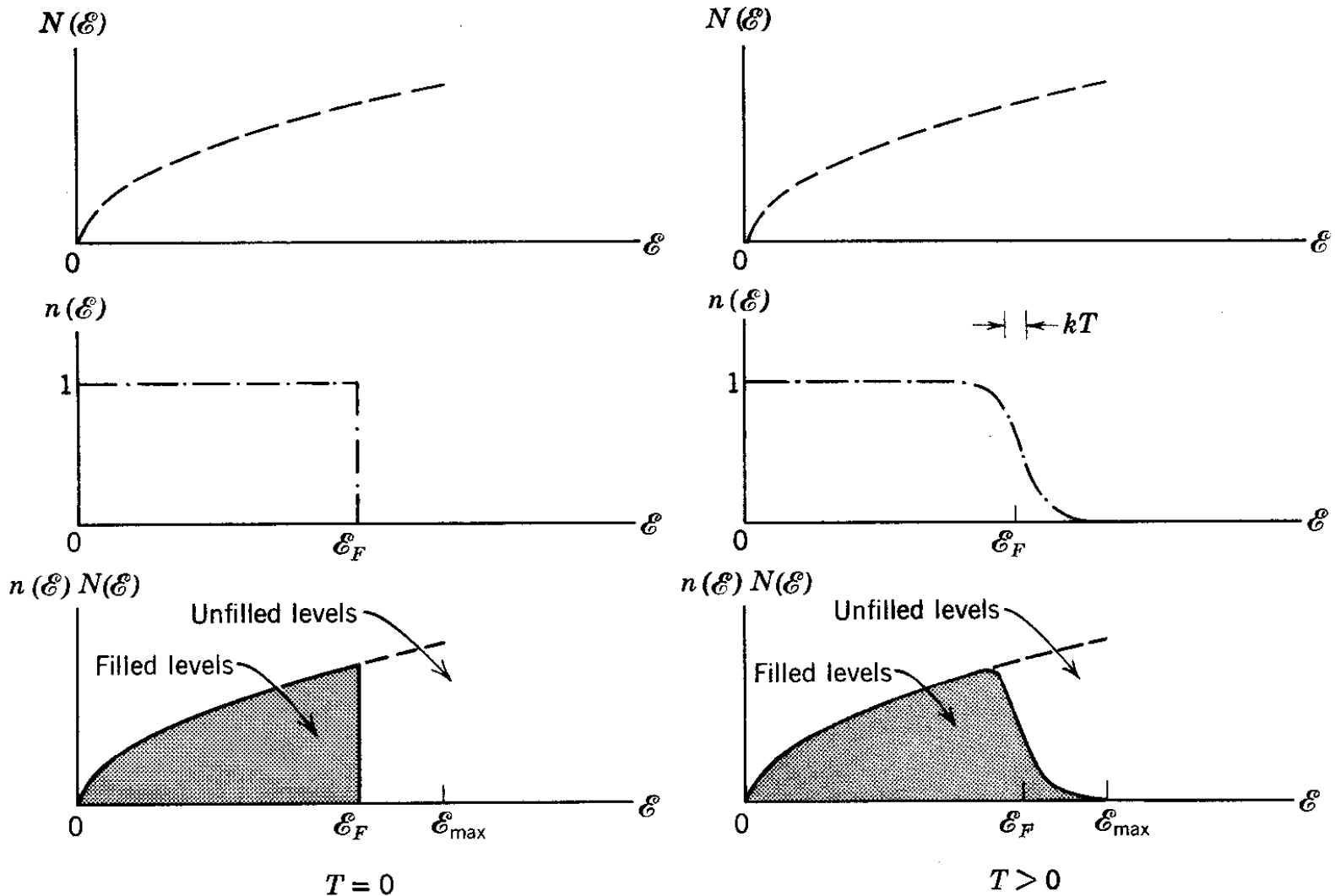
$N(\varepsilon)$

$D(\varepsilon)$

$\left( \begin{array}{l} \textit{probability of} \\ \textit{this energy occurring} \end{array} \right) \left( \begin{array}{l} \textit{number of ways to have} \\ \textit{a particular energy} \end{array} \right)$

$$\frac{1}{e^{(\varepsilon - \varepsilon_f)/kT} + 1}$$

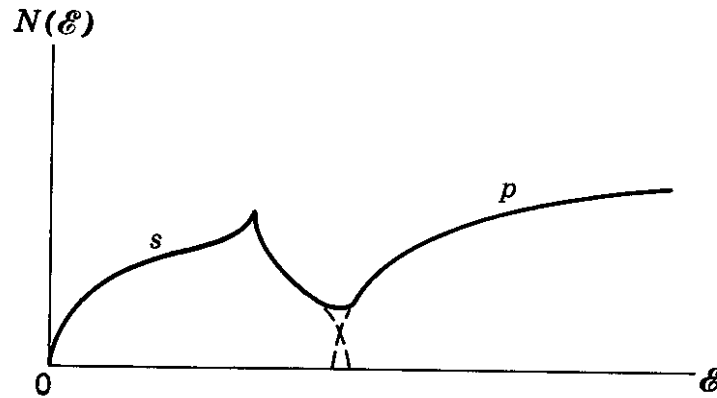
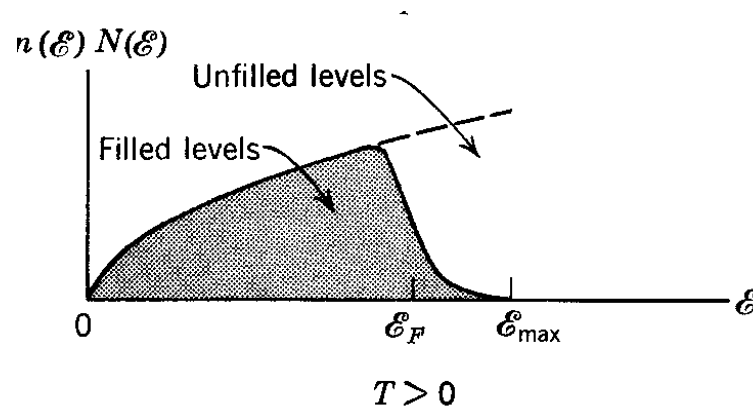
$$\frac{8\pi V}{h^3} (2m^3)^{1/2} \varepsilon^{1/2}$$

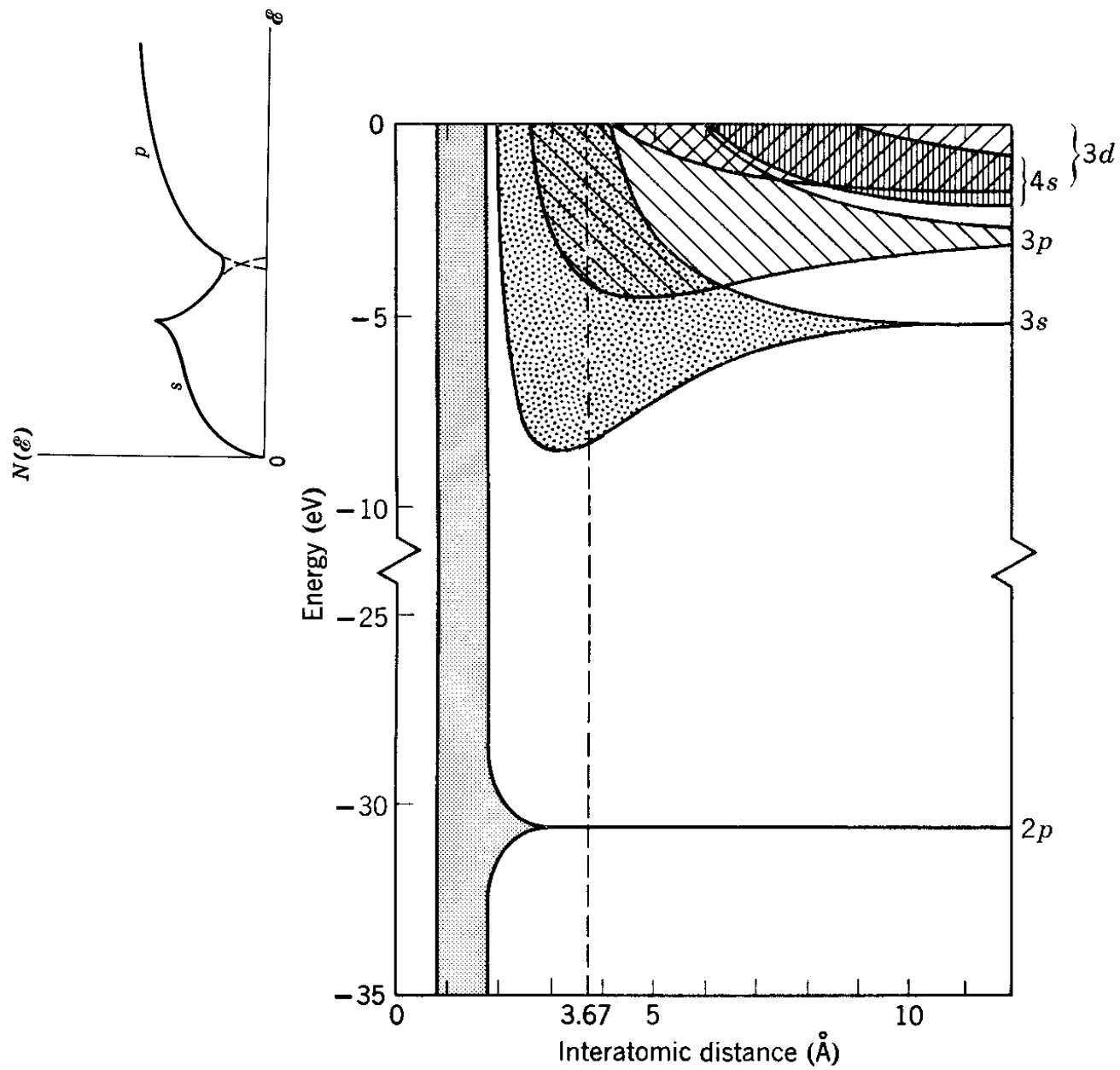


**Figure 13-4** *Left:* The distribution with energy of conduction electrons in an unfilled band of width  $\mathcal{E}_{\max}$  in a solid at  $T = 0$ , according to the free electron model. *Right:* The same at a higher temperature.

this assumes there are no other issues

# Distribution of States: Simple Free-Electron Model vs Reality



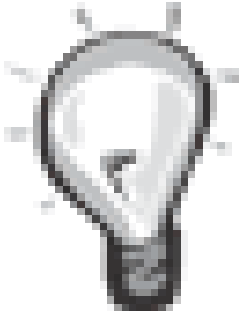


# Photon Gas

Harris 8.8



# Photon Gas -- Harris 8.8



$$Tot\ KE = \int_0^{\infty} \varepsilon N(\varepsilon) D(\varepsilon) d\varepsilon$$

distrib fn

Number of ways to have a particular energy

# Photon Gas



$$Tot\ KE = \int_0^{\infty} \epsilon N(\epsilon) D(\epsilon) d\epsilon$$

Bose

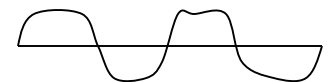
$$D(\epsilon) d\epsilon = \frac{8\pi V}{c^3} \frac{1}{h^3} \epsilon^2 d\epsilon$$

$$\epsilon = h\nu$$

$$D(\nu) d\nu = \frac{8\pi V}{c^3} \nu^2 d\nu$$

1.

$$\frac{V}{(2\pi)^3} k^2 dk d\Omega_k$$



$$N(\epsilon) = \frac{1}{e^{(\epsilon-\mu)/kT} - 1}$$

$\mu = 0$

1.

$$\epsilon = h\nu = pc = \hbar kc = \frac{1}{2\pi} \hbar kc$$

$$\int d\Omega = 4\pi$$

Factor of 2 for polarization states

# Photon Gas



$$Tot\ KE = \int_0^{\infty} \varepsilon N(\varepsilon) D(\varepsilon) d\varepsilon$$

integrand is the

~ intensity  
at an energy  $\varepsilon$

$$\frac{8\pi \varepsilon^3 d\varepsilon}{(2\pi\hbar c)^3 [e^{\varepsilon/kT} - 1]}$$

a.k.a. Planck's Blackbody Spectrum

# Photon Gas



$$Tot\ KE = \int_0^{\infty} \varepsilon N(\varepsilon) D(\varepsilon) d\varepsilon$$

$$\frac{8\pi \varepsilon^3 d\varepsilon}{(2\pi\hbar c)^3 [e^{\varepsilon/kT} - 1]}$$

Stefan-Boltzmann Law

$$\text{Radiated Intensity} = \sigma T^4 \quad \text{W/m}^2$$

$$\sigma = 5.67\text{E-}8$$

Wien Displacement Law

$$\lambda_{\text{max}} T = 2.898\text{E-}3 \text{ m}\cdot\text{K}$$

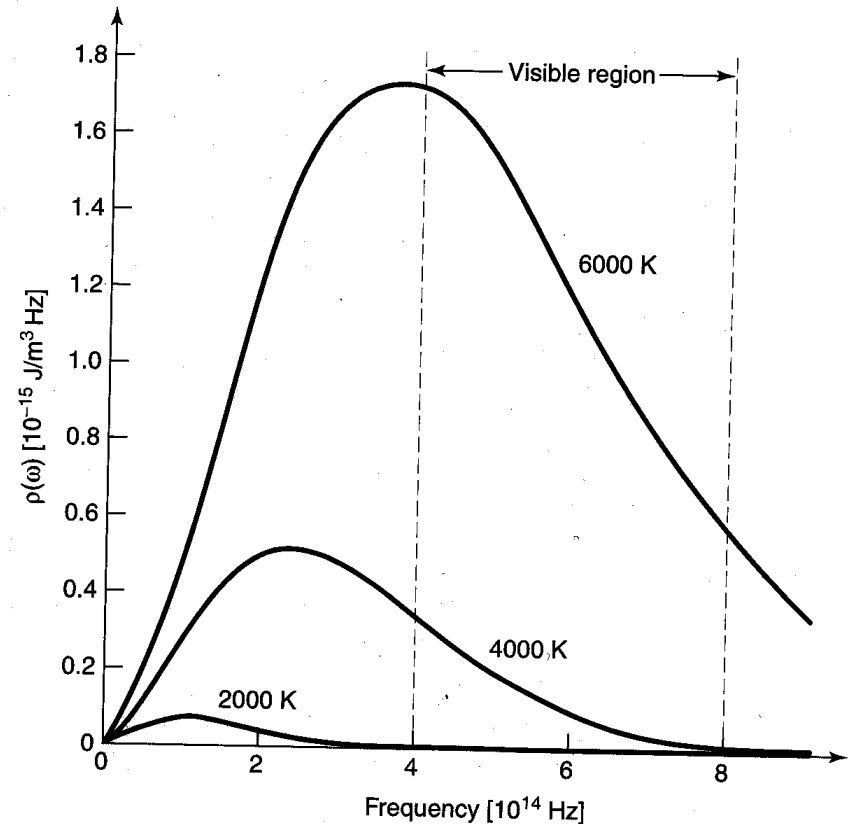
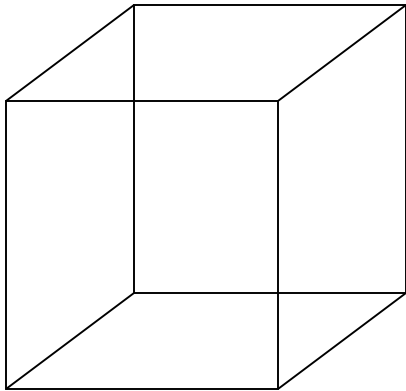


FIGURE 5.9: Planck's formula for the blackbody spectrum, Equation 5.113.

# 'Ideal' Gas Laws

# GASES 'PV=nRT'

Boson / Fermion / don't care



$$Tot\ KE = \int_0^{\infty} \epsilon N(\epsilon) D(\epsilon) d\epsilon$$

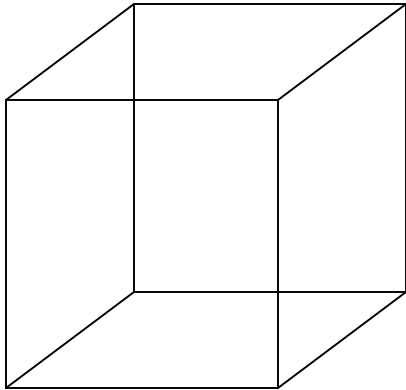
distrib fn

Number of ways  
to have a particular  
energy

$$\langle KE \rangle = \frac{Tot\ KE}{N_{tot}}$$

# don't care Gases

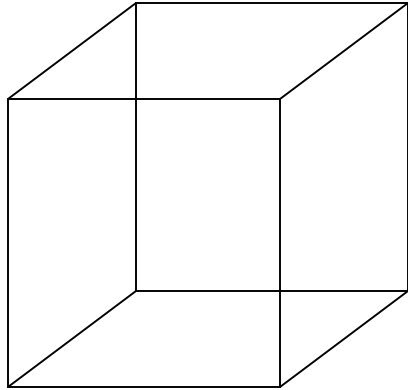
$N(\varepsilon)$  = Boltzmann distrib



$$\langle KE \rangle = \frac{3}{2} k T$$

$\frac{1}{2} kT$  KE per degree of freedom

# Boson Gases



Derivation assumes gas  
lives in 3D box, infinite square well

$N(\varepsilon) =$  Bose distrib

Small  $10^{-5}$  at STP

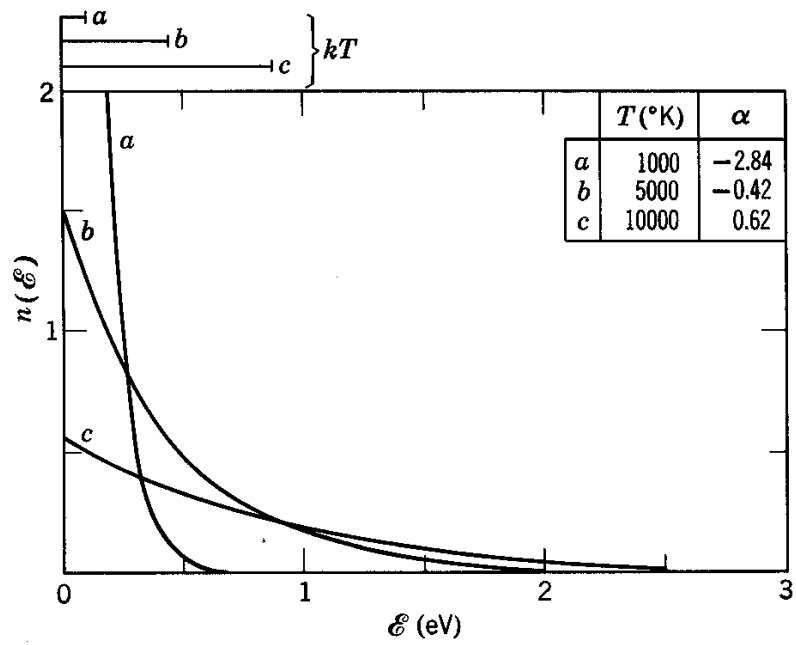


$$\langle KE \rangle = \frac{3}{2} k T \left[ 1 - \frac{1}{2^{5/2}} \frac{N_{tot} (2\pi\hbar)^3}{V (2\pi mkT)^{3/2}} \right]$$

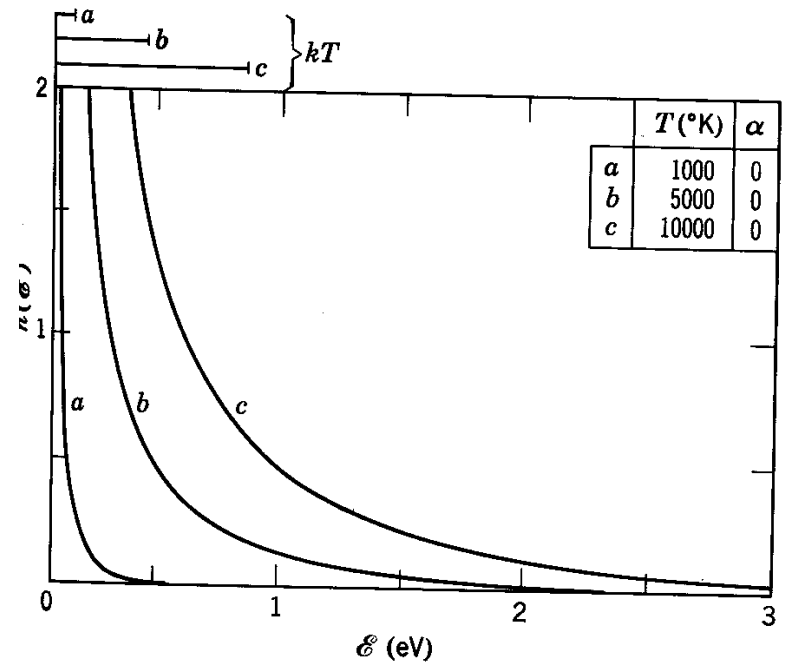
Harris (8-42)



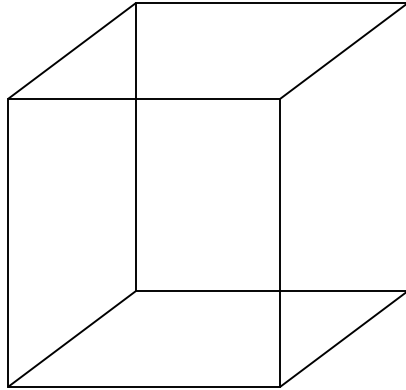
## Boltz



## Bose



# Fermi Gases



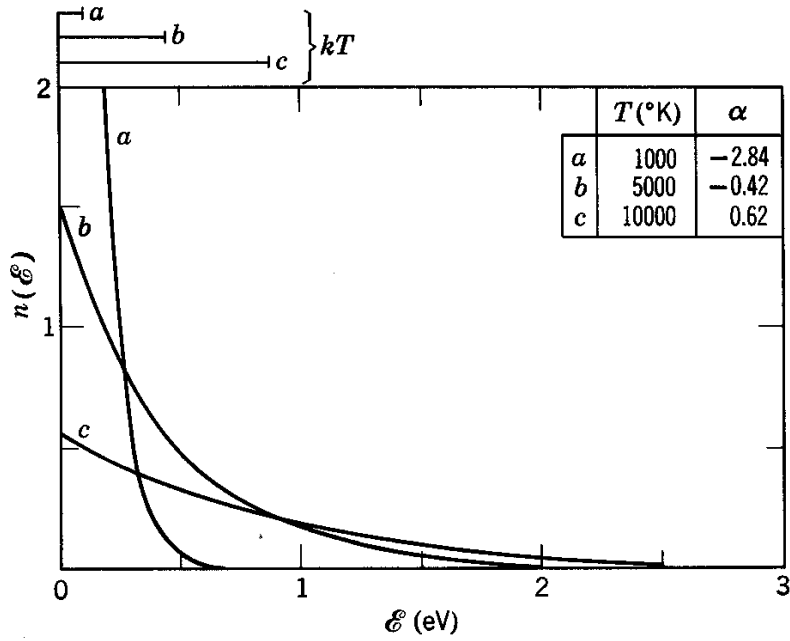
Derivation assumes gas  
lives in 3D box, infinite square well

$N(\varepsilon)$  = Fermi distrib

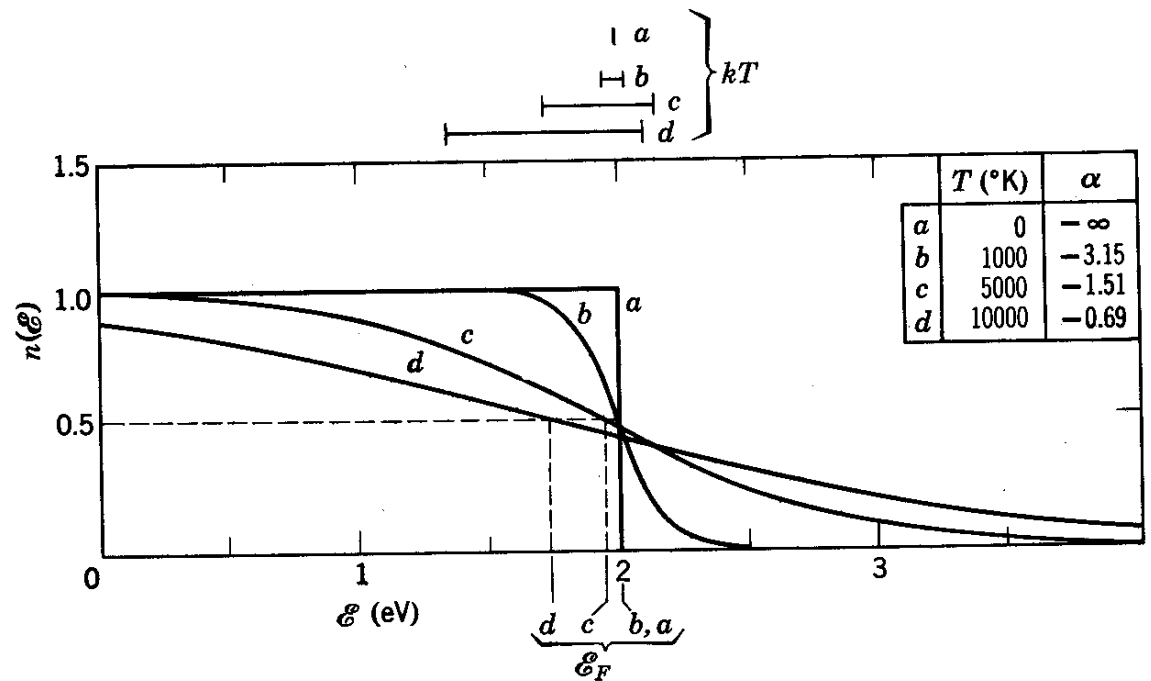
Small  $10^{-5}$  at STP

$$\langle KE \rangle = \frac{3}{2} k T \left[ 1 + \frac{1}{2^{5/2}} \frac{N_{tot} (2\pi\hbar)^3}{V (2\pi mkT)^{3/2}} \right]$$

# Boltz



# Fermi



Can we find a gas that would exhibit Boson effects ?

$$\langle KE \rangle = \frac{3}{2} k T \left[ 1 - \frac{1}{2^{5/2}} \frac{N_{tot} (2\pi\hbar)^3}{V (2\pi m k T)^{3/2}} \right]$$

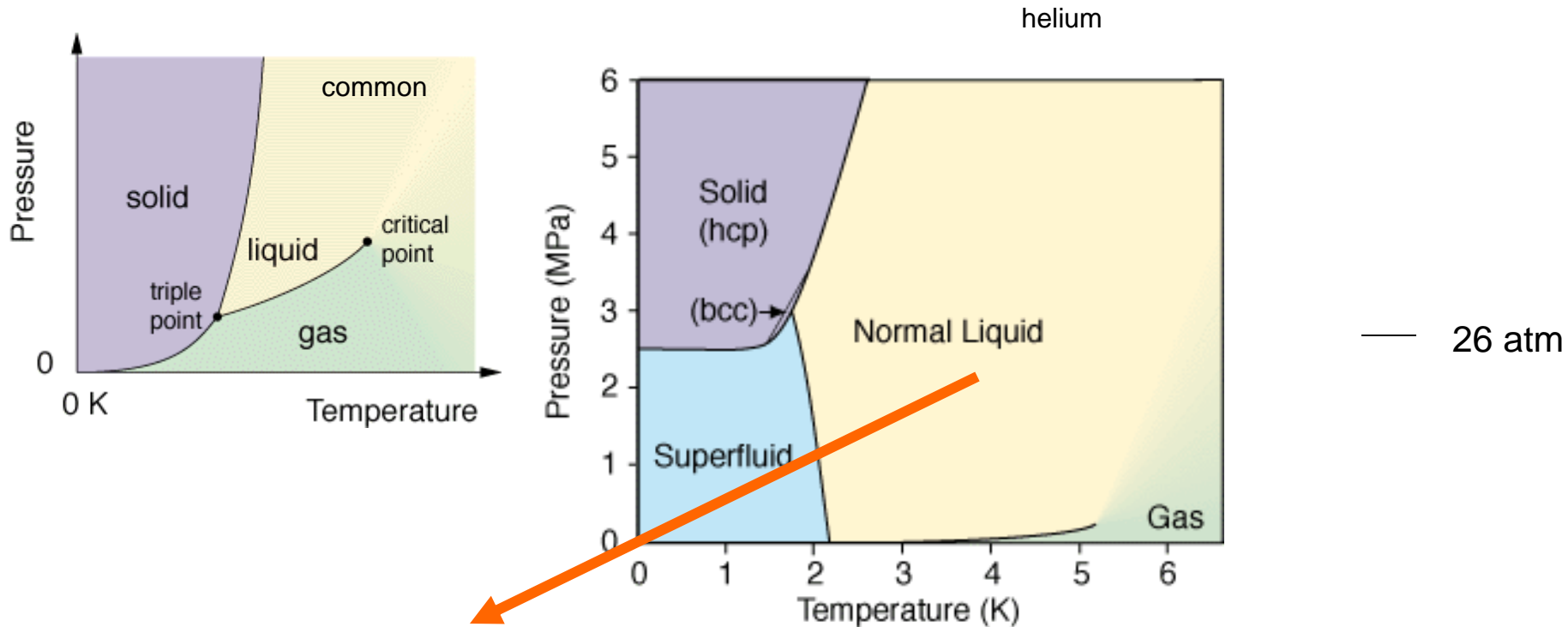
small mass  $m$ , low Temp, high density  $N_{tot} / V$

$H_2$  at condensation point 20 K  $e^{-\alpha} \sim 1/100$

He at condensation point 4.2 K  $e^{-\alpha} \sim 1/7$

# Liquid He

Phonon gas – intra atomic interactions

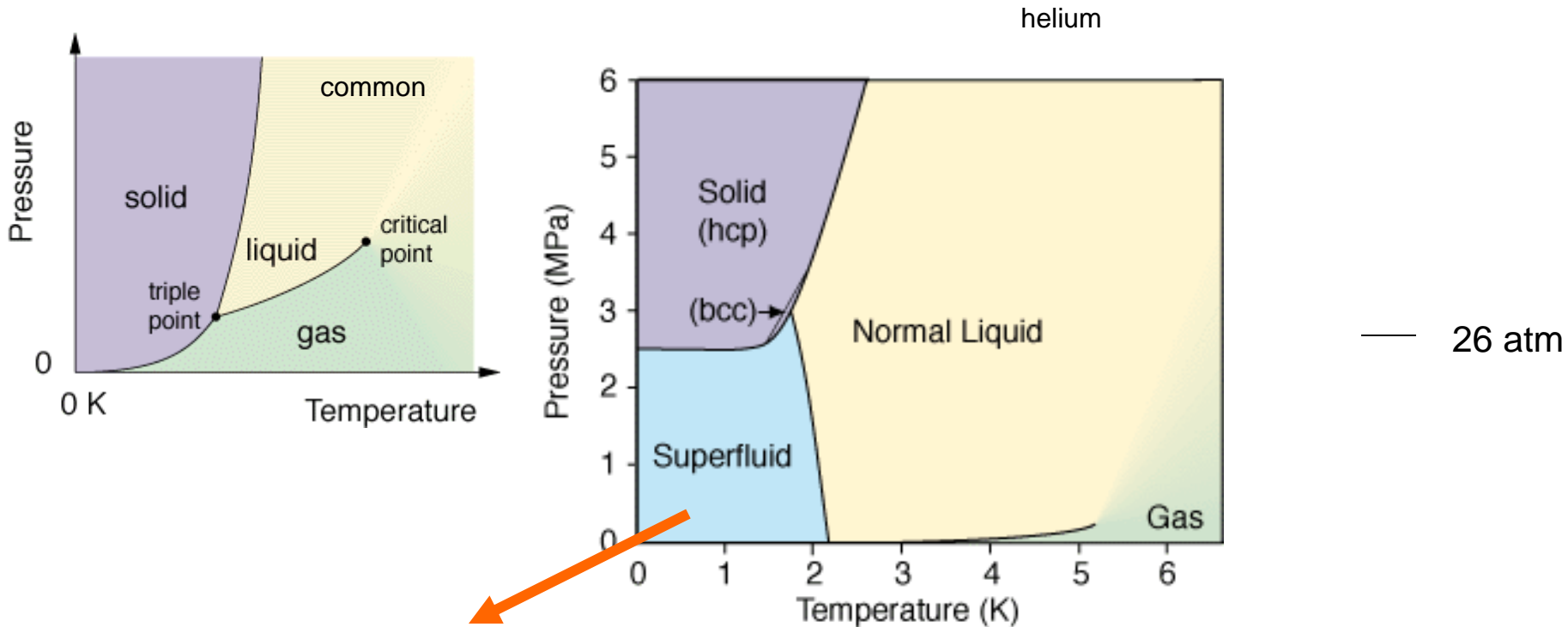


- Very low viscosity
- Density = 0.125 g/cc (1/4 of what expected)
- nrg of thermal motion ~ nrg of inter-atomic effects

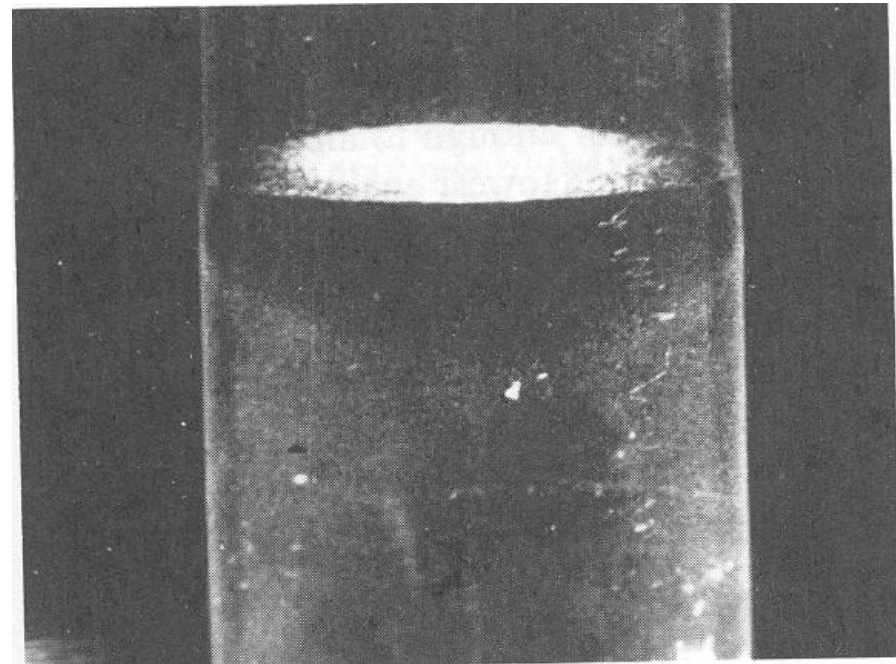
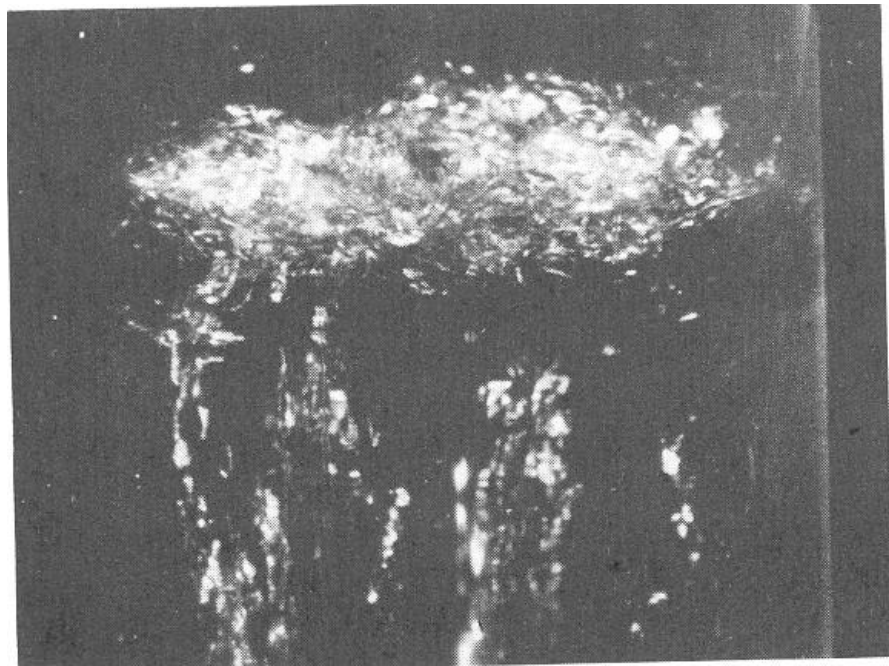
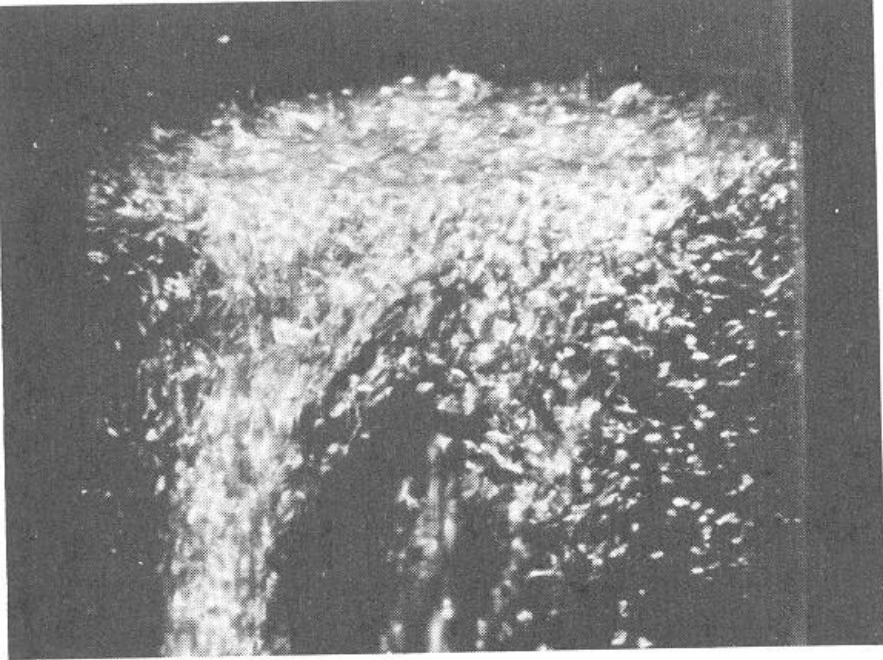
0.5 meV

# Liquid He below $T_\lambda$

Not really a gas, but hey...

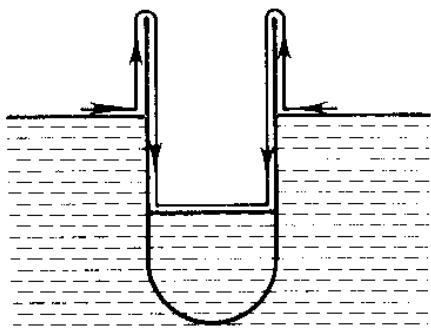


- Heat is conducted through liquid w/o thermal resistance ( drops by  $10^6$  at  $T_\lambda$ )
- Viscosity of fluid drops suddenly ( drops by  $10^6$  at  $T_\lambda$ )
- Bulk ordered mass motion. Creep at  $\sim 30$  cm/s

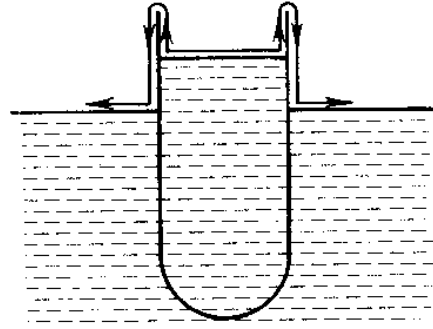


Below 4.2 K,  
Heat is conducted without boiling.

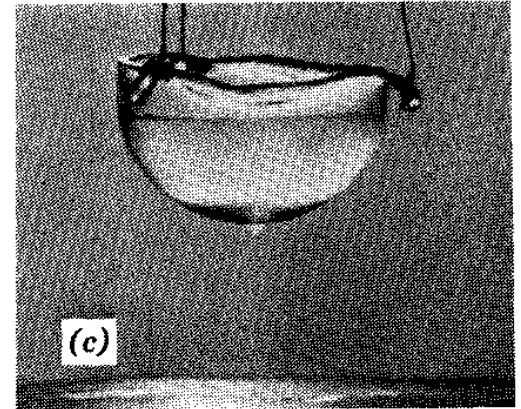
# Creep



(a)



(b)



(c)

**Figure 11-9** The creeping motion of a film of liquid  $\text{He}^4$  below the transition temperature

K. Mendelssohn has written of the film flow as follows:

“If the beaker is withdrawn from the bath, the level will drop until it has reached the level of the bath. If the beaker is pulled out completely, the level will still drop, and one can see little drops of helium forming at the bottom of the beaker and falling back into the bath. This is the sort of thing that makes one look twice and rub his eyes and wonder whether it is quite true. I remember well the night when we first observed this film transfer. It was well after dinner, and we looked around the building and finally found two nuclear physicists still at work. When they, too, saw the drops, we were happier.”



- Liquid Helium Film Creep
  - <http://www.youtube.com/watch?v=fg1huRoaJdU>
- Helium below  $\lambda$ -point
  - [http://www.youtube.com/watch?v=TBi908sct\\_U](http://www.youtube.com/watch?v=TBi908sct_U)
  - <http://www.youtube.com/watch?v=YKjFPpuK-Jo>
- S

wikipedia

Helium I has a gas-like [index of refraction](#) of 1.026 which makes its surface so hard to see that floats of [Styrofoam](#) are often used to show where the surface is.<sup>[5]</sup> This colorless liquid has a very low [viscosity](#) and a [density](#) 1/8th that of [water](#), which is only 1/4th the value expected from [classical physics](#).<sup>[5]</sup> [Quantum mechanics](#) is needed to explain this property and thus both types of liquid helium are called *quantum fluids*, meaning they display atomic properties on a macroscopic scale. This is probably due to its boiling point being so close to absolute zero, which prevents random molecular motion ([heat](#)) from masking the atomic properties.<sup>[5]</sup>

wikipedia

Boiling of helium II is not possible due to its high [thermal conductivity](#); heat input instead causes [evaporation](#) of the liquid directly to gas.

Helium II is a [superfluid](#), a quantum-mechanical state of matter with strange properties. For example, when it flows through even capillaries of  $10^{-7}$  to  $10^{-8}$  m width it has no measurable [viscosity](#). However, when measurements were done between two moving discs, a viscosity comparable to that of gaseous helium was observed. Current theory explains this using the *two-fluid model* for Helium II. In this model, liquid helium below the lambda point is viewed as containing a proportion of helium atoms in a [ground state](#), which are superfluid and flow with exactly zero viscosity, and a proportion of helium atoms in an excited state, which behave more like an ordinary fluid.[\[6\]](#)

A short explanation for the phenomenon would be that in this state, the temperature of the Helium is so low that almost all Helium atoms are in the lowest (quantum mechanical) energy state. Since energy can only be lost in discrete steps, and atoms in the lowest state cannot lose any energy, gravity and friction have no effect on single atoms.

# Bose Condensates -- kinda Harris 8.7

<http://www.colorado.edu/physics/2000/bec>

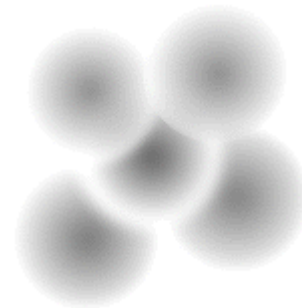
[Java Applet: Thermal Box](#)

[Java Applet: Thermal Quantum Well](#)

[Java Applet: Evaporative Cooling](#)

[Animated gif of Condensation](#)

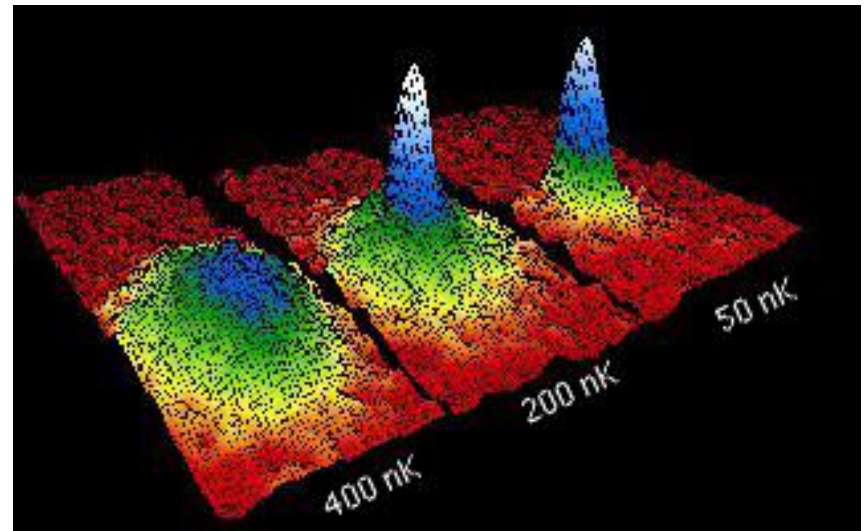
Interference of Two BEC  
Manipulation of BEC by Optical Lattices  
Quantum Computing  
'Slow Light' 17 m/s



Some very cold atoms



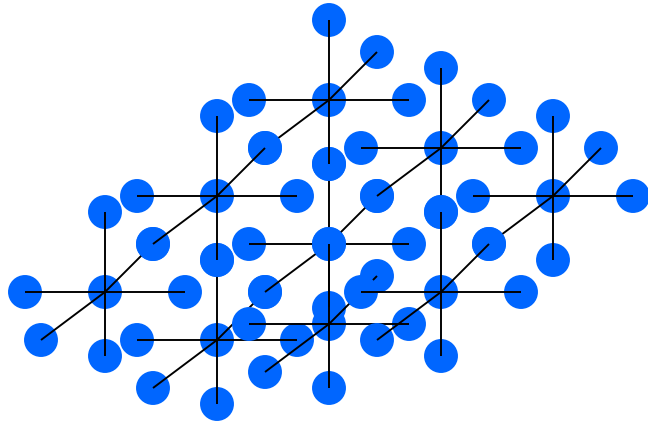
Some atoms in a  
BEC condensate



# Specific Heats of Solids

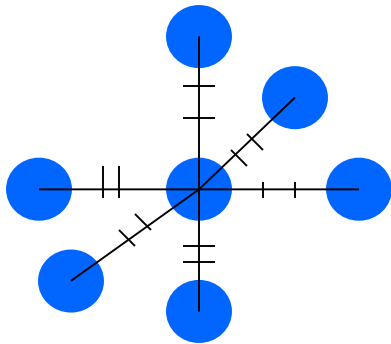
Harris 8.10

# SPECIFIC HEAT of Solids at Normal Temps



$kT$  of Tot E (=KE+U) per dof

$$\langle E_{tot} \rangle = 3(kT)N = 3NkT = 3RT$$

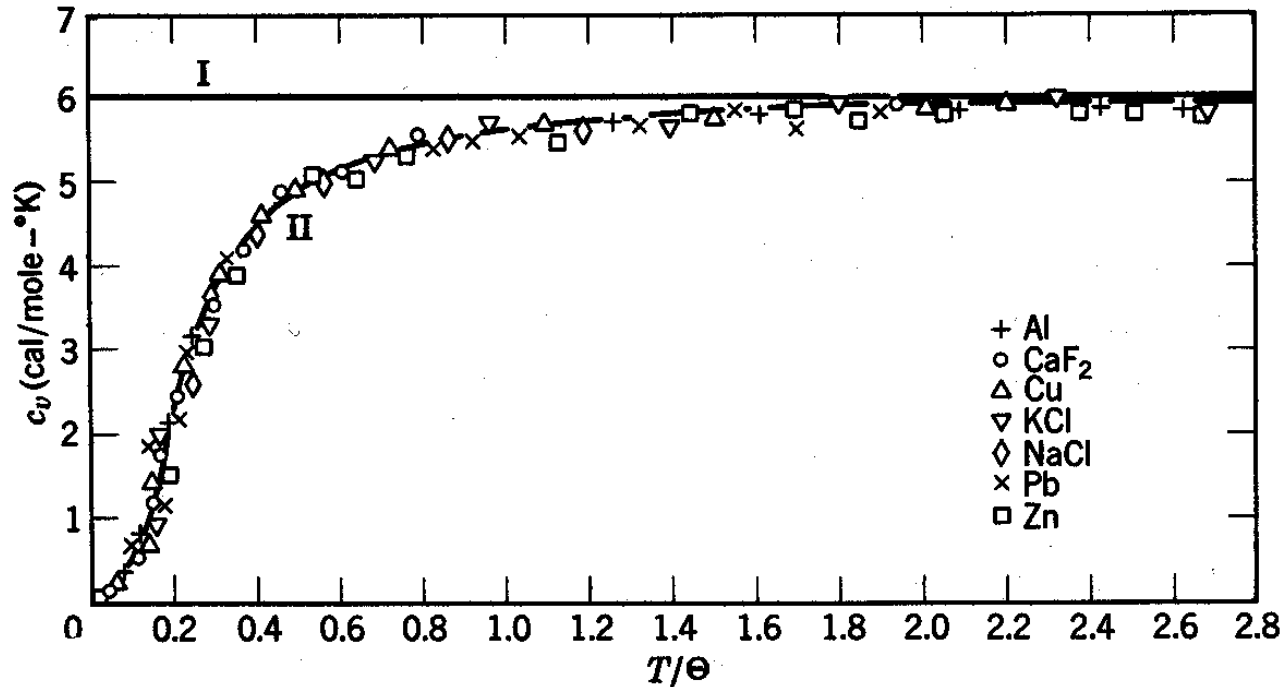


Specific Heat

$$C_v = \frac{d\langle E_{tot} \rangle}{dT} = 3R$$

law of Dulong & Petit

# Specific Heat of Solids at Lower Temps



**Figure 11-5** The measured specific heat at constant volume, as a function of temperature, for several materials. Horizontal line I represents the Dulong-Petit law, and curve II represents the predictions of the Debye theory.

- 1) Einstein treatment  $\rightarrow$  incorrect T dependence
- 2) Debye treatment

- Fe  $\Theta = 465$  K
- Al  $\Theta = 395$  K
- Ag  $\Theta = 210$  K

Classical: Dulong & Petit

$$\langle E_{tot} \rangle = 3(kT)N = 3NkT = 3RT$$

Einstein's approach: fudge it with Planck's bb distribution

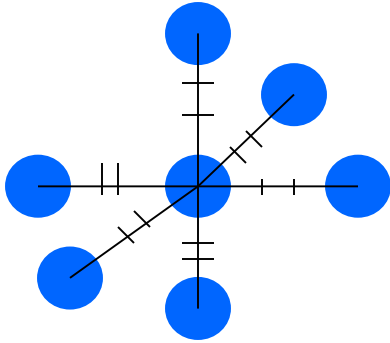
$$kT \rightarrow \frac{h\nu}{e^{h\nu/kT} - 1}$$

But it didn't get the very low temp  $C_V$  correct

Peter Debye worked it out with the distribution functions



# Specific Heat of Solids at Lower Temps



$$Tot\ KE = \int_0^{\epsilon_{max}} \epsilon N(\epsilon) \frac{D(\epsilon) d\epsilon}{\epsilon}$$

Boltzmann

-- atom sites are distinguishable

number of states  
of energy  $\epsilon$ ,  
properly normalized  
(Debye model)

$$E = 9R \frac{T^4}{\Theta^3} \int_0^{\Theta/T} \frac{x^3}{e^x - 1} dx \quad (11-30)$$

and Debye's formula for the specific heat of a solid is

$$c_v = \frac{dE}{dT} = 9R \left[ 4 \left( \frac{T}{\Theta} \right)^3 \int_0^{\Theta/T} \frac{x^3}{e^x - 1} dx - \frac{\Theta}{T} \frac{1}{e^{\Theta/T} - 1} \right] \quad (11-31)$$

# Laser Systems

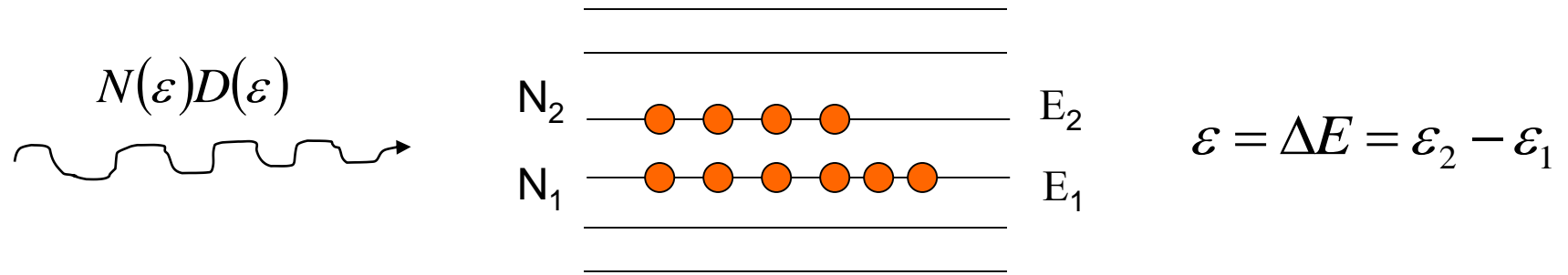
## Harris 8.9

1. Two State System
2. Forcing a Population Inversion
3. Examples

Desired outcome:

Light Amplification by Stimulated Emission of Radiation

# Two State Detailed Balance



$$Rate_{\downarrow} = A_{spont} N_2 + B_{stim} N_2 N(\epsilon)D(\epsilon)$$

$$Rate_{\uparrow} = B_{abs} N_1 N(\epsilon)D(\epsilon)$$

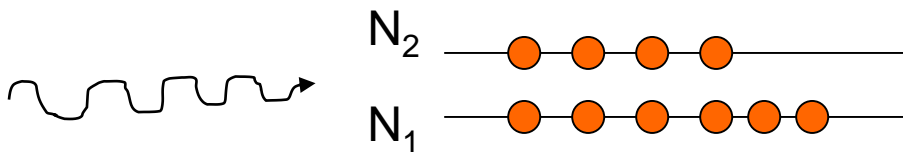
$$N(\epsilon)D(\epsilon) = \frac{1}{e^{\epsilon/kT} - 1} \frac{8\pi V}{h^3 c^3} \epsilon^2$$

$$1 = \frac{\text{Rate}_{\downarrow}}{\text{Rate}_{\uparrow}} = \frac{N_2}{N_1} \frac{A_{\text{spon}}}{B_{\text{abs}} N(\varepsilon) D(\varepsilon)} + \frac{N_2}{N_1} \frac{B_{\text{stim}}}{B_{\text{abs}}}$$

$$\frac{N_2}{N_1} = \frac{e^{-\varepsilon_2/kT}}{e^{-\varepsilon_1/kT}} = e^{-(\varepsilon_2 - \varepsilon_1)/kT} = e^{-\varepsilon/kT}$$

$$\frac{1}{N(\varepsilon) D(\varepsilon)} = \left( e^{\varepsilon/kT} - 1 \right) \frac{h^3 c^3}{8\pi V} \frac{1}{\varepsilon^2}$$

$$1 = \frac{\text{Rate}_{\downarrow}}{\text{Rate}_{\uparrow}} = \frac{A_{\text{spon}}}{B_{\text{abs}}} \frac{h^3 c^3}{8\pi V} \frac{1}{\varepsilon^2} \left(1 - e^{-\varepsilon/kT}\right) + \frac{B_{\text{stim}}}{B_{\text{abs}}} e^{-\varepsilon/kT}$$




$$\frac{A_{\text{spon}}}{B_{\text{abs}}} \frac{h^3 c^3}{8\pi V} \frac{1}{\varepsilon^2} = 1$$

$$\frac{B_{\text{stim}}}{B_{\text{abs}}} = 1$$

Incoming photon just as likely to knock one down as knock one up  
 -- as long as system is in equilibrium.

Light Amplification by Stimulated Emission of Radiation

Back up to:

$$\frac{Rate_{\downarrow}}{Rate_{\uparrow}} = \frac{N_2}{N_1} \frac{A_{spon}}{B_{abs} N(\varepsilon) D(\varepsilon)} + \frac{N_2}{N_1} \frac{B_{stim}}{B_{abs}}$$


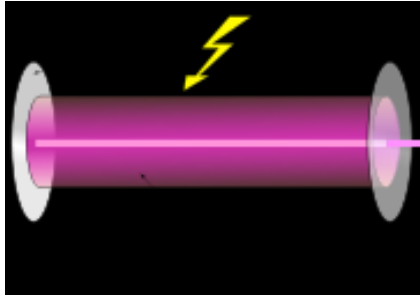
$$\frac{Rate_{\downarrow}}{Rate_{\uparrow}} = \frac{N_2}{N_1} (e^{\varepsilon/kT} - 1) + \frac{N_2}{N_1}$$

Light Amplification by Stimulated Emission of Radiation  $\longrightarrow \frac{N_2}{N_1} \gg 1$

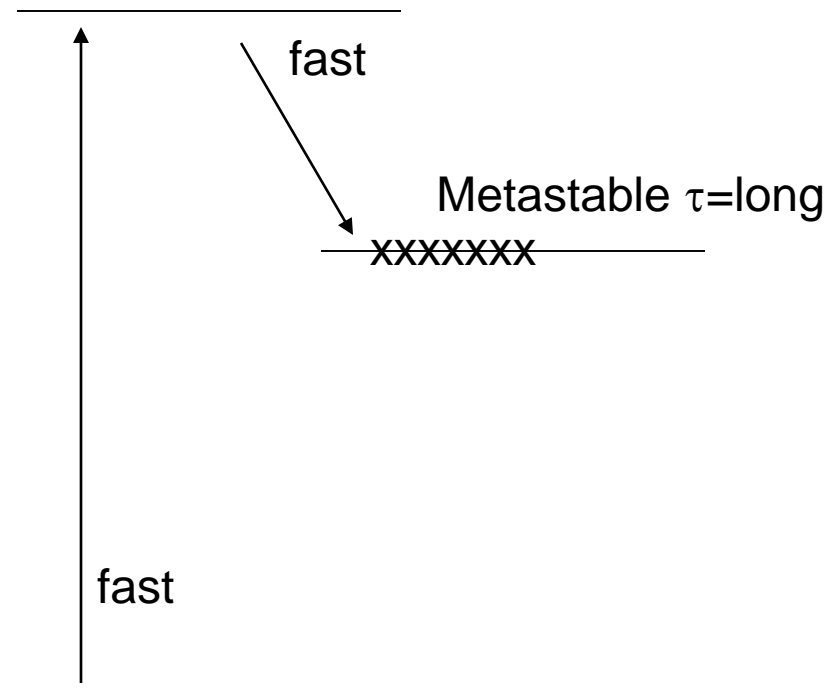
# History of Light Amplification

- 1953 Townes, Gordon, Zeiger: microwave amp
- 1955 Basov, Prokhov: 3 state
- 1957 Townes, Schawlow: change to optical
- 1957 Gould: design sketches
- 1959 Gould: “laser” and practical apps
- 1960 Maiman first working laser
- 1960-1987 Gould vs Townes court battles

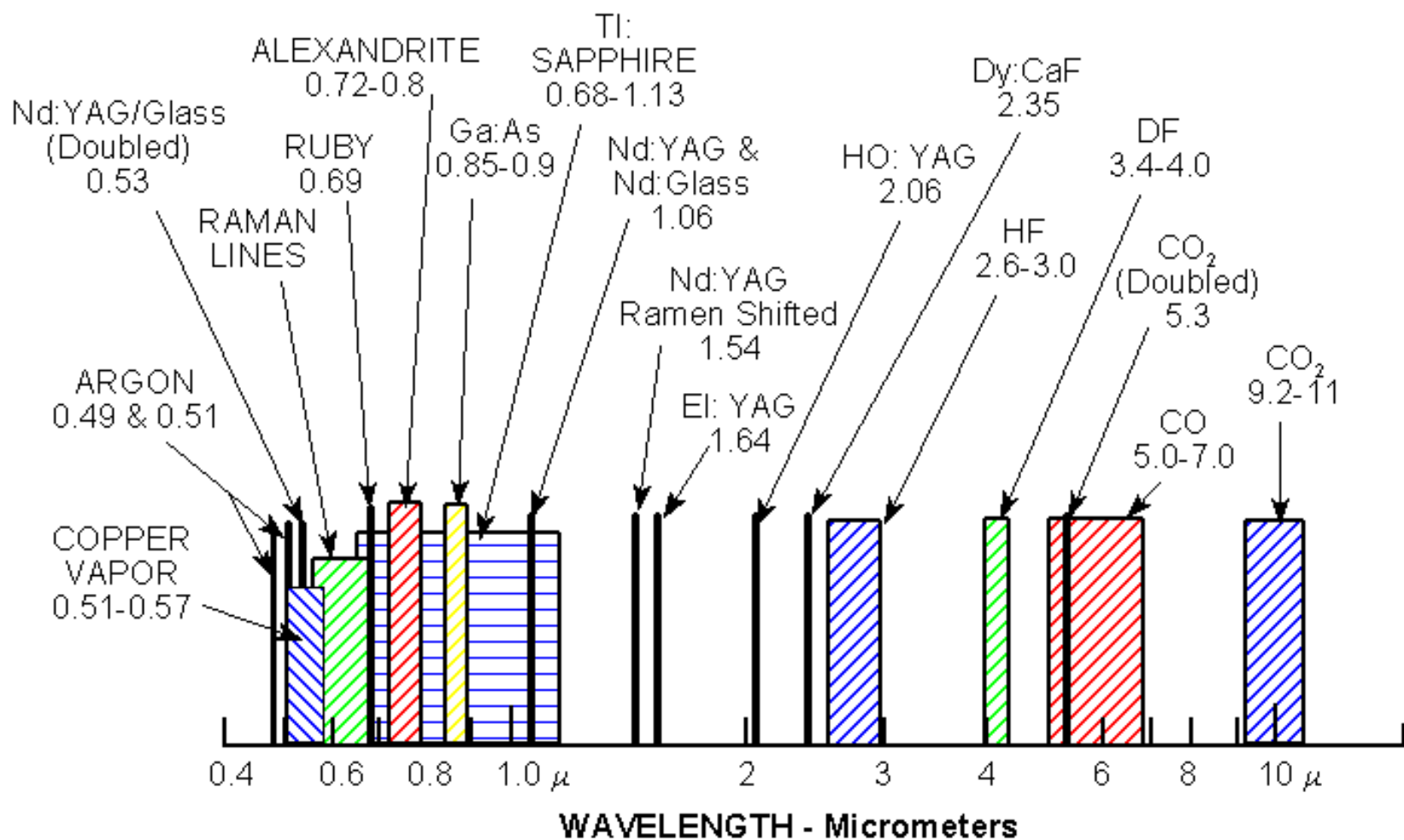
# Mechanisms for “pumping” a Population Inversion $N_2 \gg N_1$



- Xe Flash lamp
- Electrical discharge
- Collisional excitation: HeNe
- Laser of another frequency
- Chemical Laser
- Excimer Laser
- Q-switching
- Solid State Semiconductor

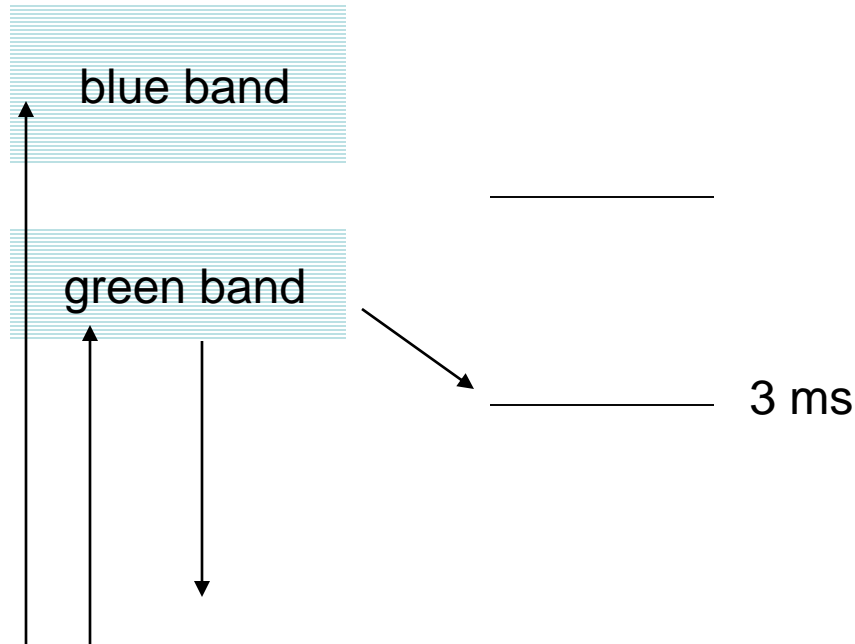
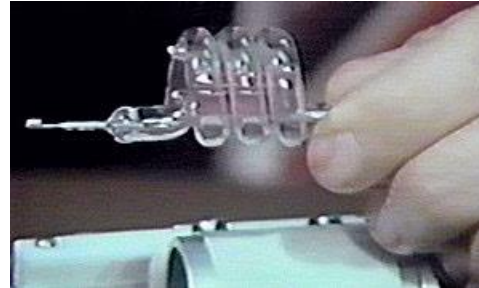
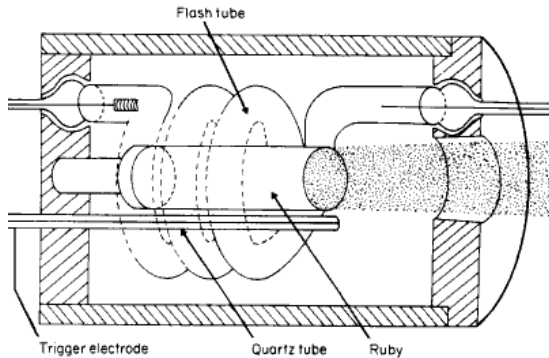






# Ruby Laser

Theodore Maiman 1960



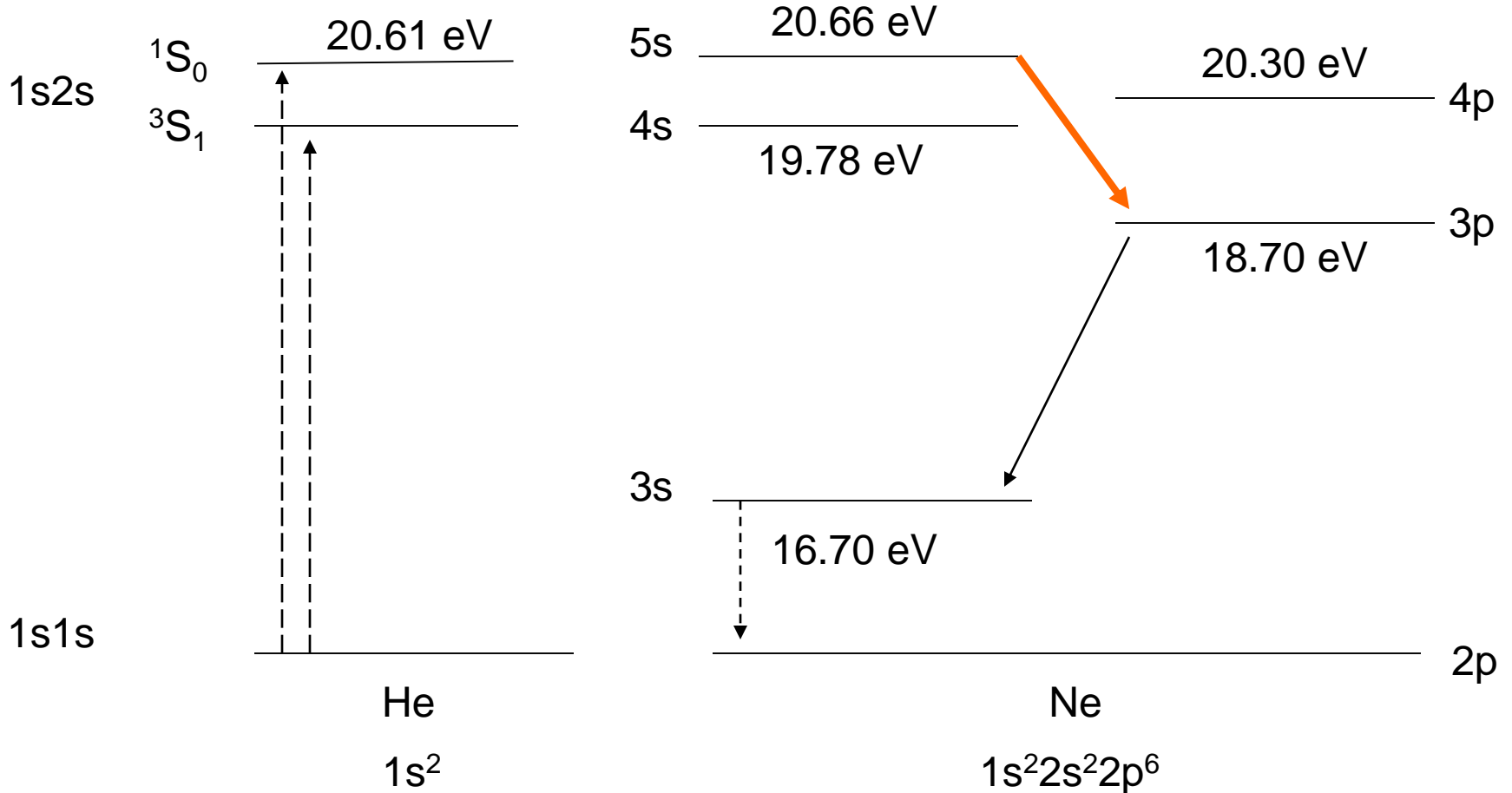
- Cr in Al<sub>2</sub>O<sub>3</sub>
- Ruby ends flat to  $1/3 \lambda$
- Ruby ends polished to form Fabry-Perot
- Xe flash lamp
- Cr<sup>+++</sup> absorbs  $\sim 550 \text{ nm}$
- Cr<sup>+++</sup> metastable  $\sim 3 \text{ ms}$

<http://laserstars.org/history/ruby.html>



# HeNe

$$\overline{KE} = \frac{3}{2}kT \sim 0.04 \text{ eV at } 300\text{K}$$



# HeNe

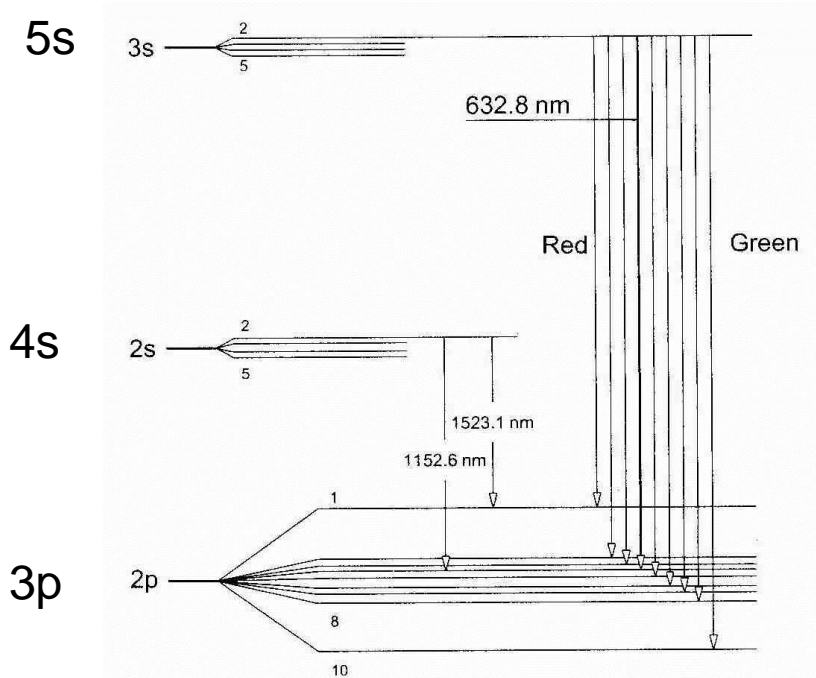
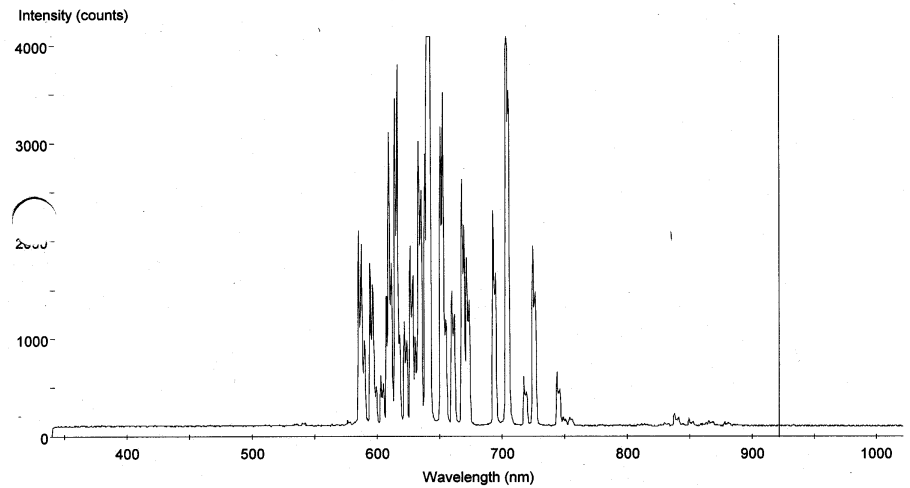


Fig. 3: The most important laser transitions in the neon system

## Ocean Optics -- Neon

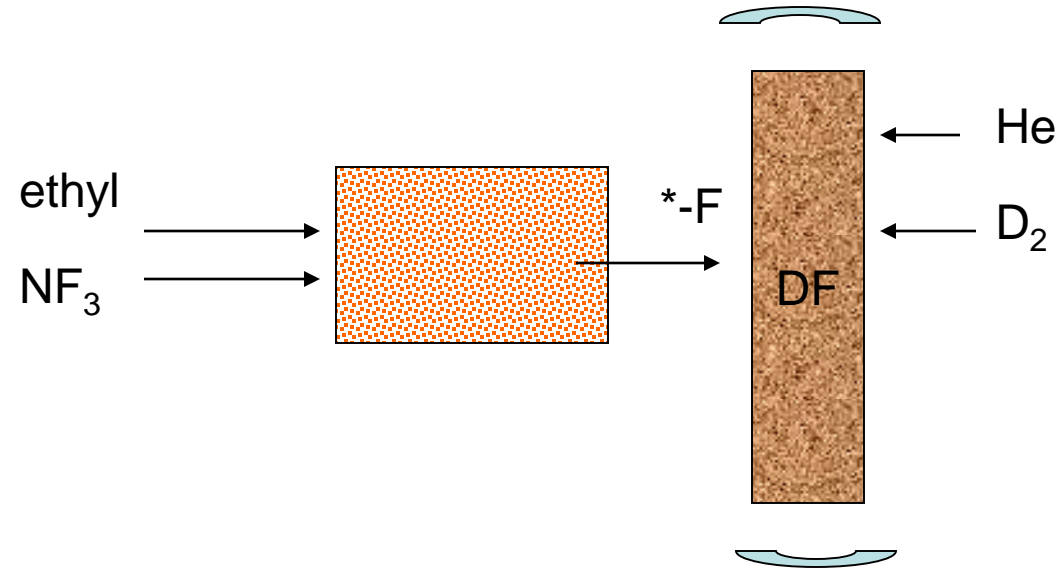


# Chemical Lasers

Mid-IR Advanced Chem Laser

'HF' 2.7-2.9  $\mu\text{m}$

'DF'  $\sim 3.8 \mu\text{m}$



Performance:

1980  $\sim$ MW for  $<70$  sec

1997 USAF test against satellite @ 430 km

2006 pgm funding downgrade

MIRACL <http://www.fas.org/spp/military/program/asat/miracl.htm>

[http://en.wikipedia.org/wiki/Boeing\\_YAL-1](http://en.wikipedia.org/wiki/Boeing_YAL-1)

The End